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Interpretation of the Foundation Model: Concepts, Challenges, and Applications

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<https://ruoyuchen10.github.io/>

2024.06.27

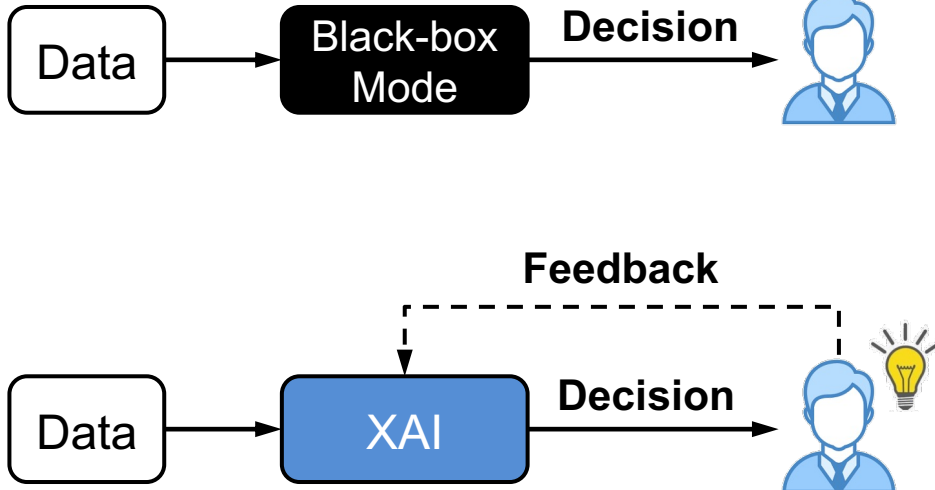
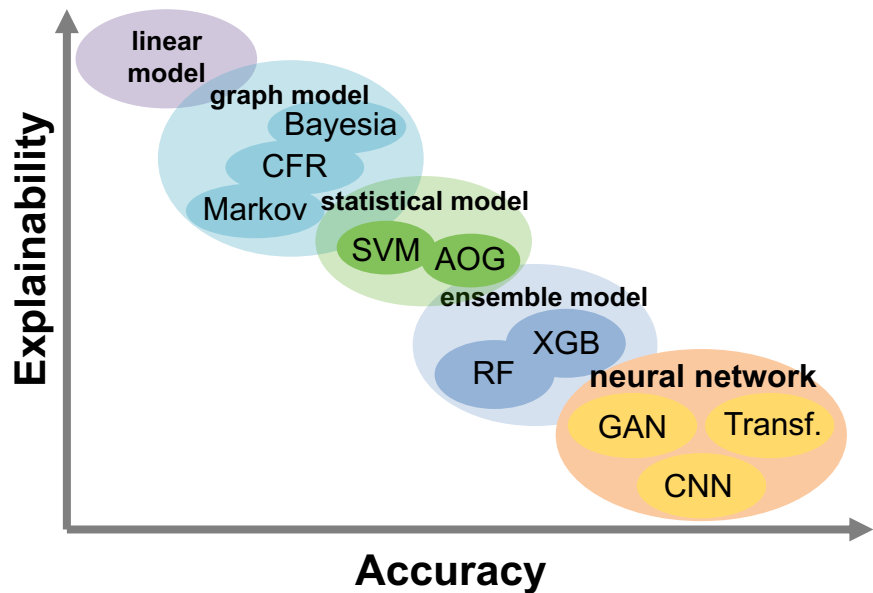
Outline

1. Why We Need Interpretable AI?
 - Introduction & Conception
 - How to Apply XAI - Research Routes
2. Interpretation for Large Model
 - Traditional Method
 - Category and Challenge
 - CLIP Interpretation
 - Explainable Generative AI
 - Interpret and Enhance Model Performance During Training
3. AI Agent and XAI
 - Related Work
 - What can we interpret
4. World Model and Challenges in XAI
 - Related Work
 - What can we interpret
5. Future Outlook

1. Why We Need Interpretable AI?

- Introduction & Conception
- How to Apply XAI - Research Routes

1.1 Introduction & Conception



The AI black box model has the risk of making decisions that are **unreasonable, illegal, or without detailed explanations.**

Explainable AI helps humans **understand model decisions, trust the model more, and improve the AI model based on continuous feedback.**

The huge success of ML has led to an explosion in the capabilities of AI, but its effectiveness will be limited by the machine's **inability to explain its decisions and actions to human users.** XAI is critical for users to understand, properly trust and effectively manage this **new generation of artificial intelligence.**



Autonomous driving



Education



Financial risk



Medical health 4

1.1 Introduction & Conception

Interpretation

- The actual **operating mechanism** behind the model;
- Accurately link model causes to effects;
- Determine what the model actually learned;
- Correct under certain conditions.

Explanation

- Represent the decision-making process or results in a **human-understandable manner**;
- Associating various feedback modalities and controlling the degree of semantic expression;
- Not necessarily correct.

Ante-hoc & Self-explainability

- Directly interpretable **white-box models**;
- Interpretability has been generated during the decision-making process of the model.

Post-hoc

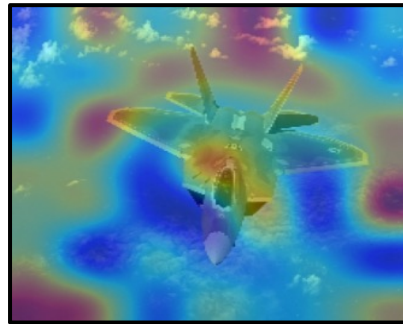
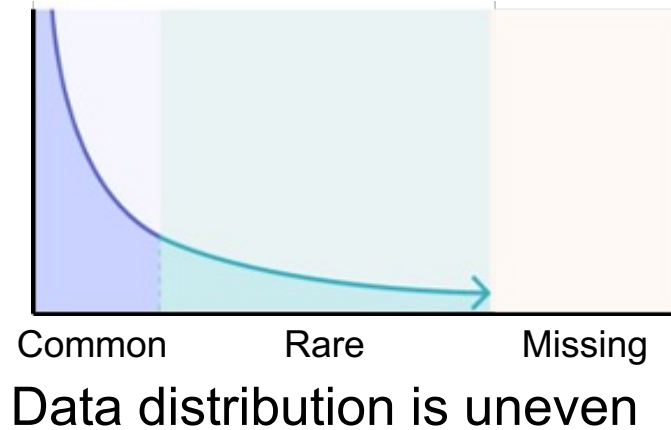
- Interpret the results of a pretrained model or its decisions;
- An explanation provided after the model has made one or several decisions.

1. Why We Need Interpretable AI?

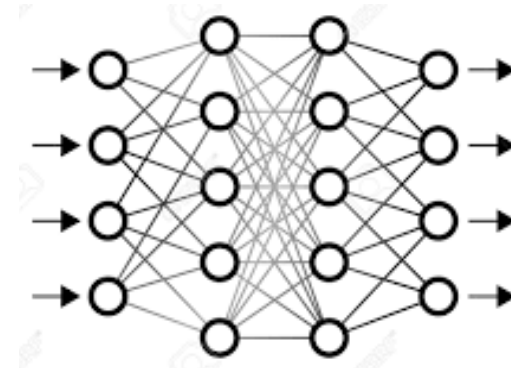
- Introduction & Conception
- ▣ How to Apply XAI - Research Routes

1.2 How to Apply XAI

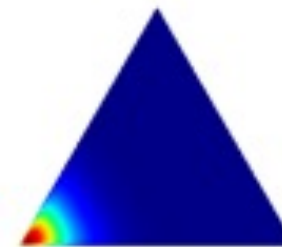
Why do AI models still have errors?



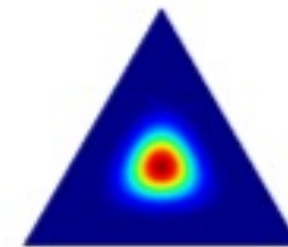
Less supervision information



Defects in the model itself



Good Metric
Ideal situation

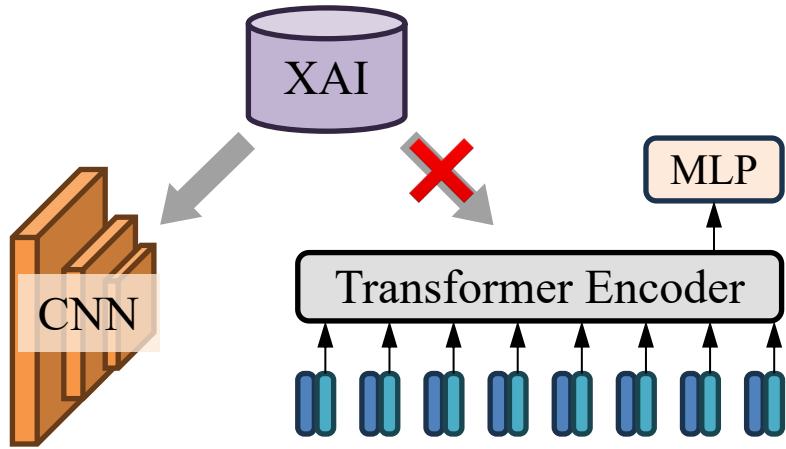


Good Metric
Error situation

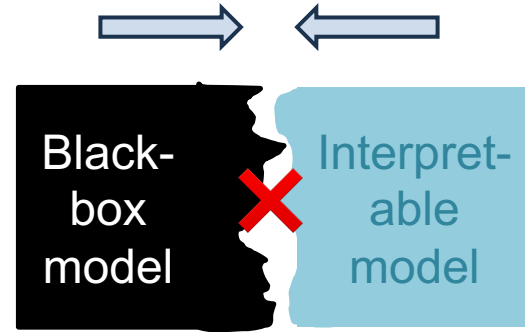
Evaluation metric defects

So we need interpretation!

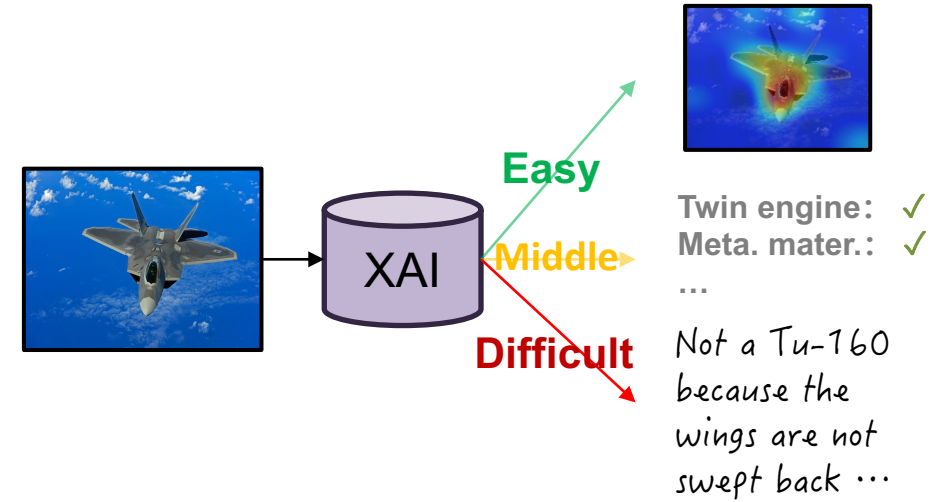
1.2 How to Apply XAI



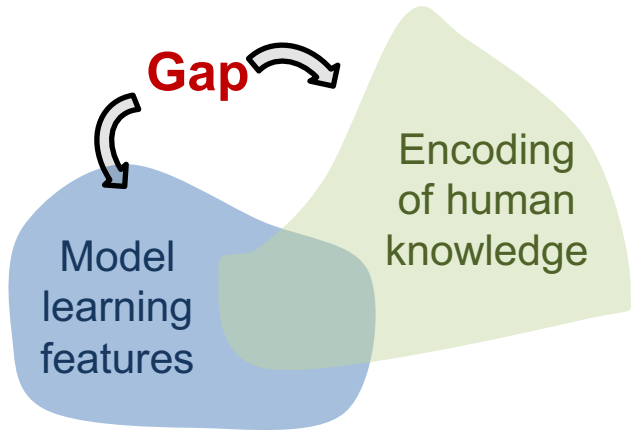
1. Interpretation paradigm is **not universal**



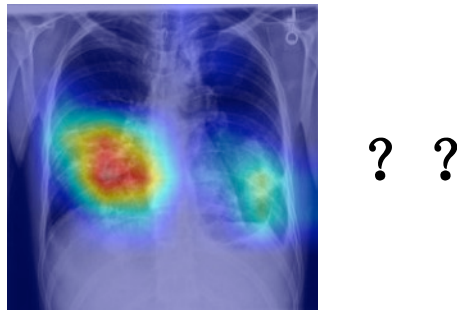
2. Interpretable models are **difficult to design**



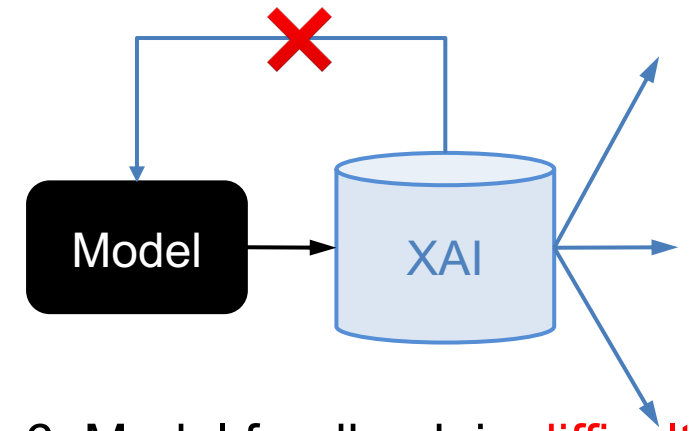
3. High degree of semantic feedback is **difficult to interpret**



4. Human knowledge is **difficult to integrate**

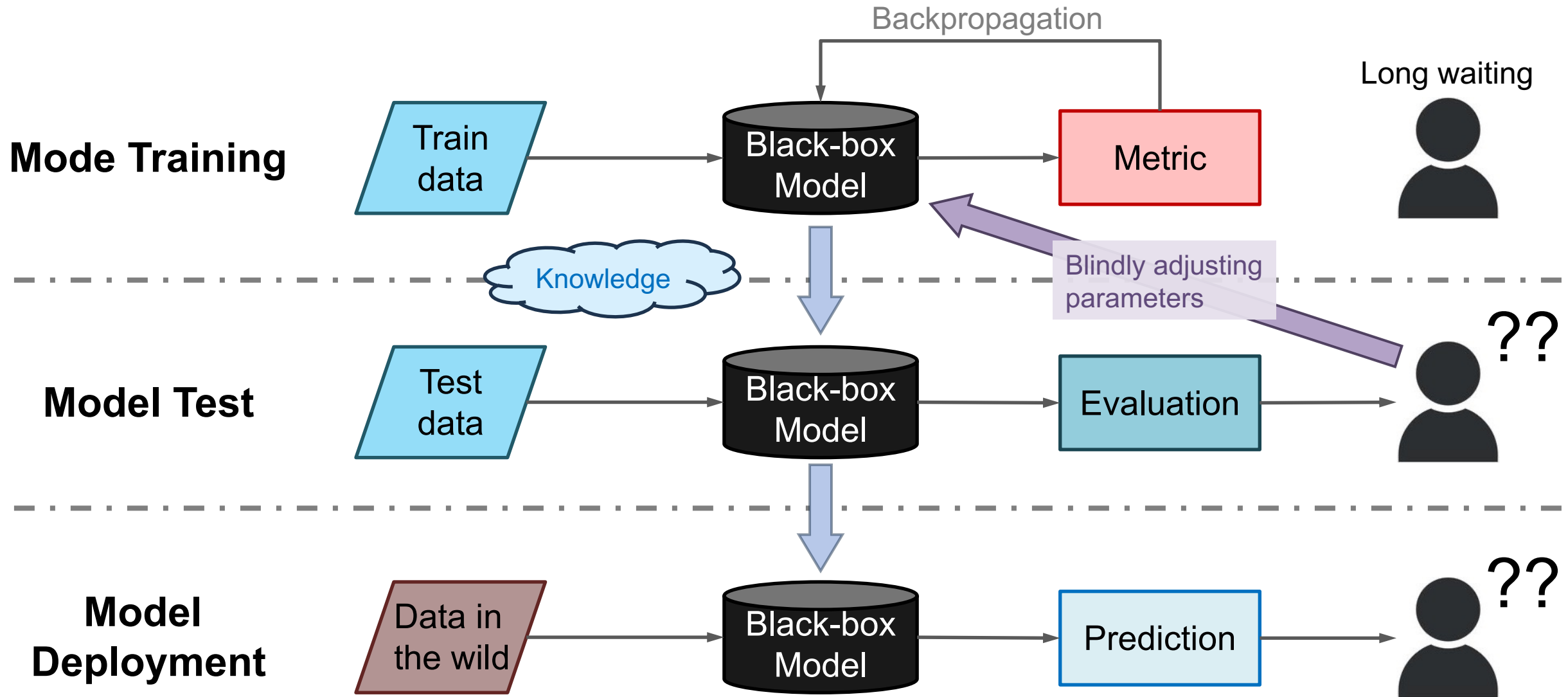


5. Interpretation results are **difficult to evaluate**

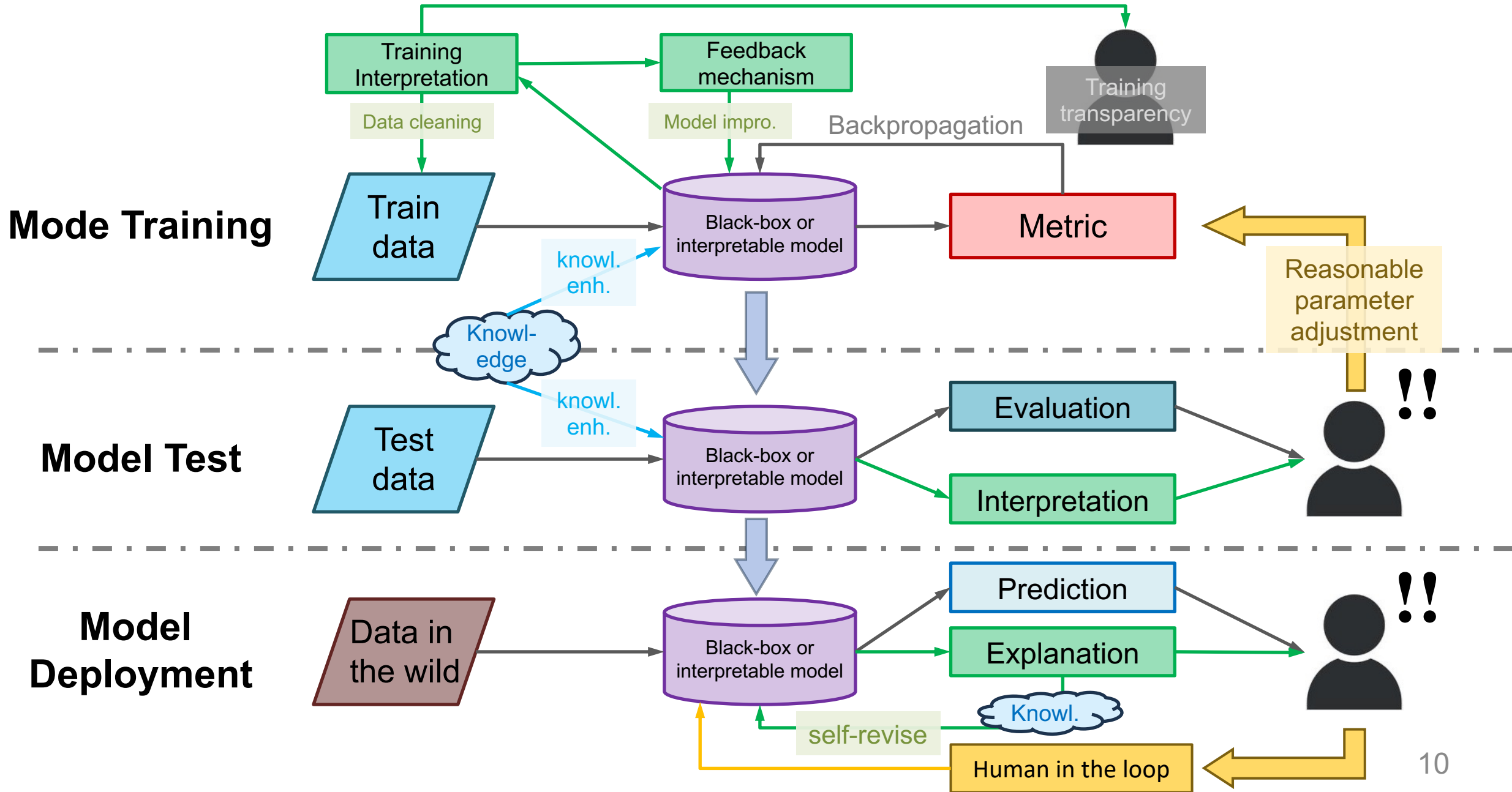


6. Model feedback is **difficult to construct**

1.2 How to Apply XAI

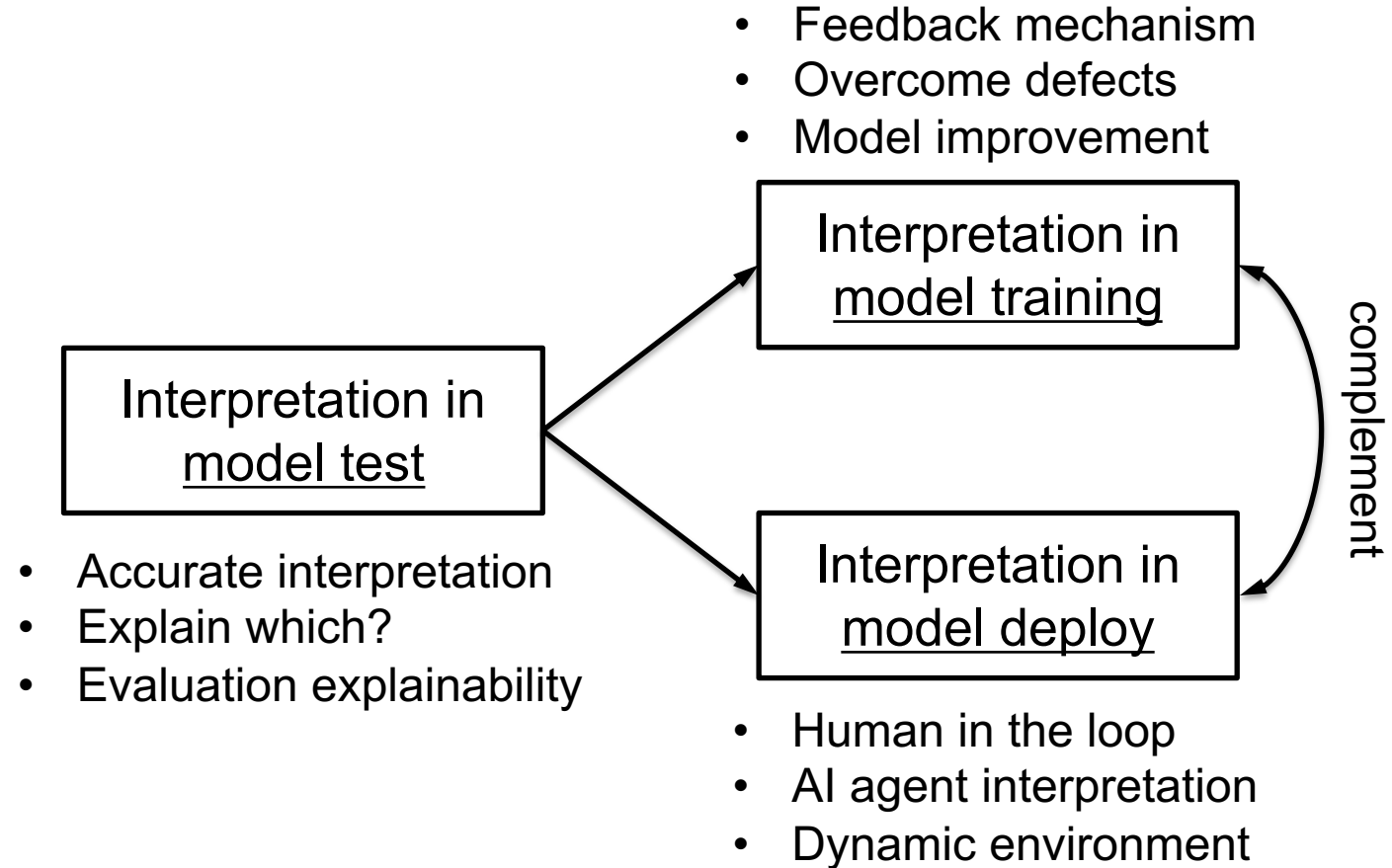


1.2 How to Apply XAI



1.2 How to Apply XAI

How to design?

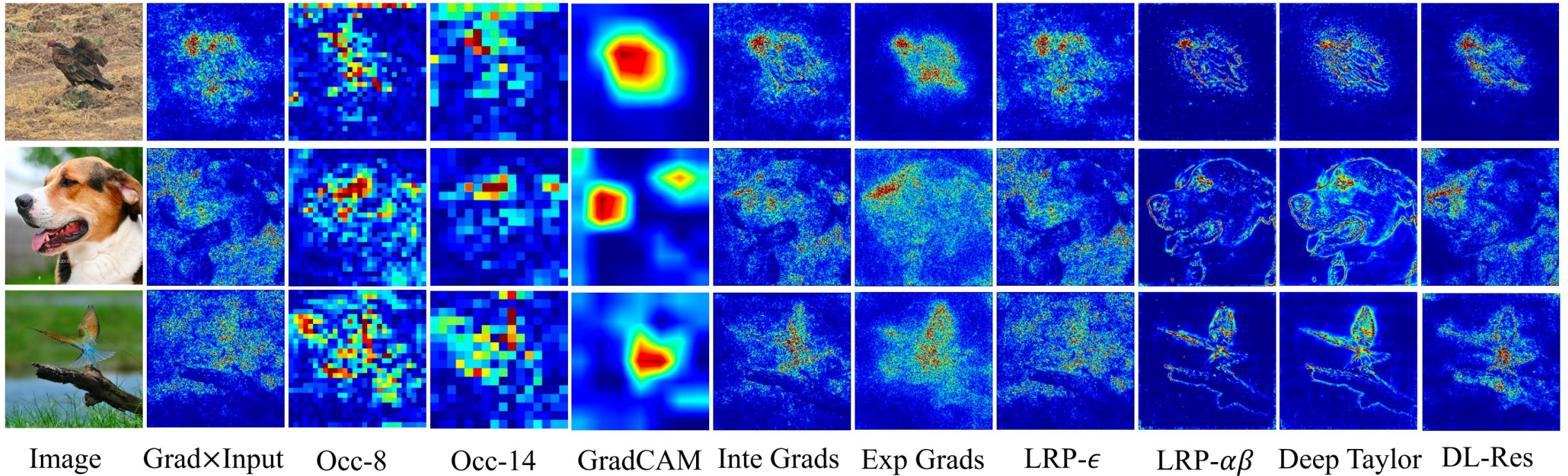


2. Interpretation for Large Model

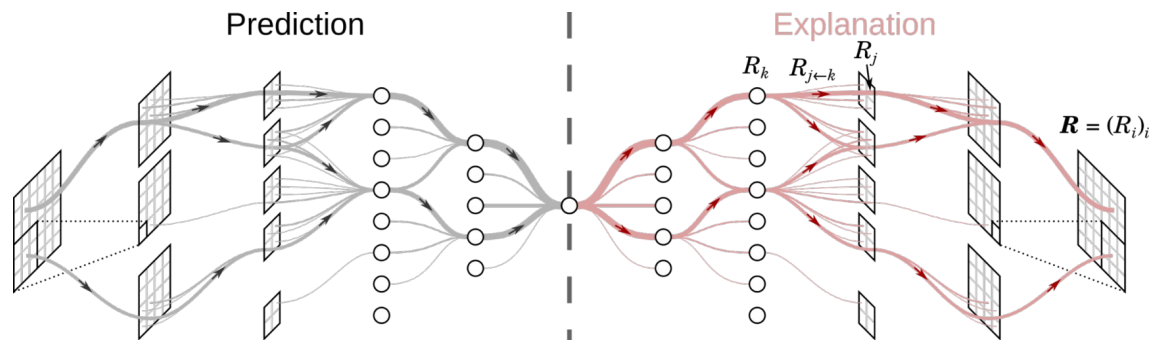
- ▣ Tradition Method
- ▣ Category and Challenge
- ▣ CLIP Interpretation
- ▣ Explainable Generative AI
- ▣ Interpret and Enhance
Model Performance During
Training

2.1 Traditional Method

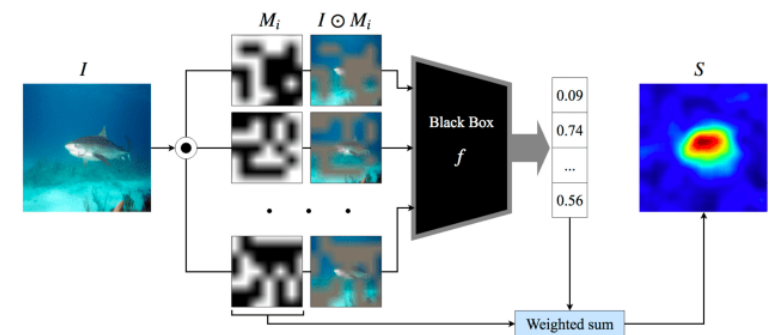
Attribution-based Methods



Based on the internal mechanism of the model (white box)

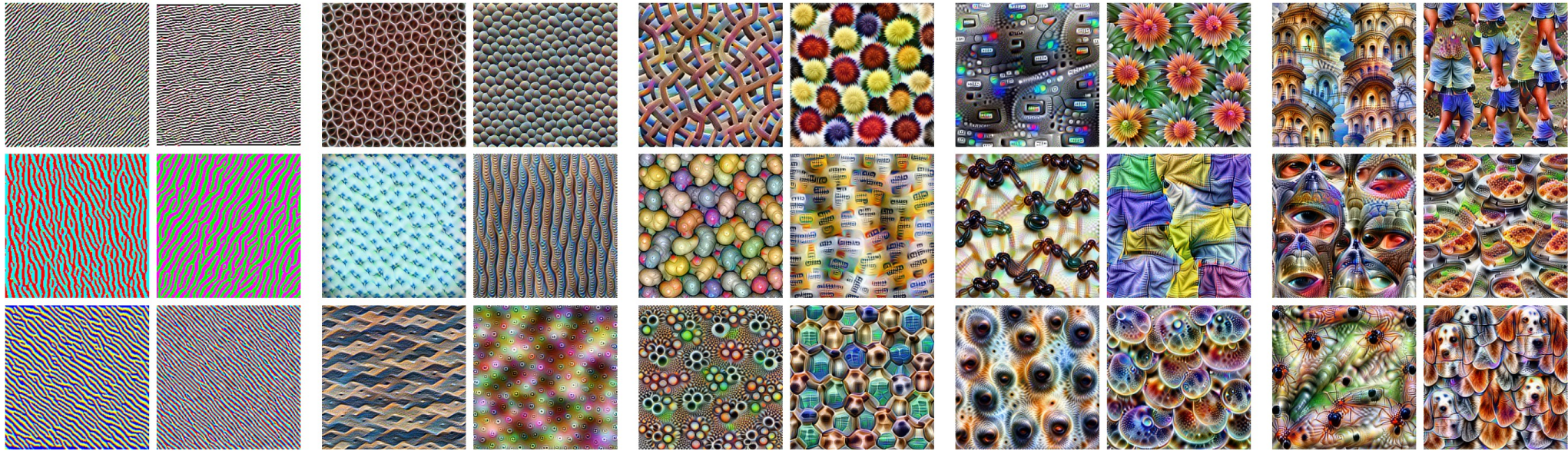


Based on perturbation (black box)



2.1 Traditional Method

Feature visualization-based Methods



Edges (layer conv2d0)

Textures (layer mixed3a)

Patterns (layer mixed4a)

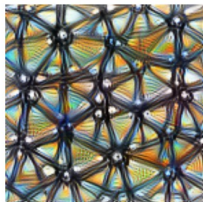
Parts (layers mixed4b & mixed4c)

Objects (layers mixed4d & mixed4e)



Neuron

`layer_n[x,y,z]`



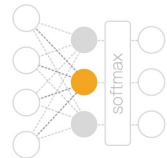
Channel

`layer_n[:, :, z]`



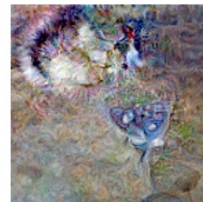
Layer/DeepDream

`layer_n[:, :, :]2`



Class Logits

`pre_softmax[k]`



Class Probability

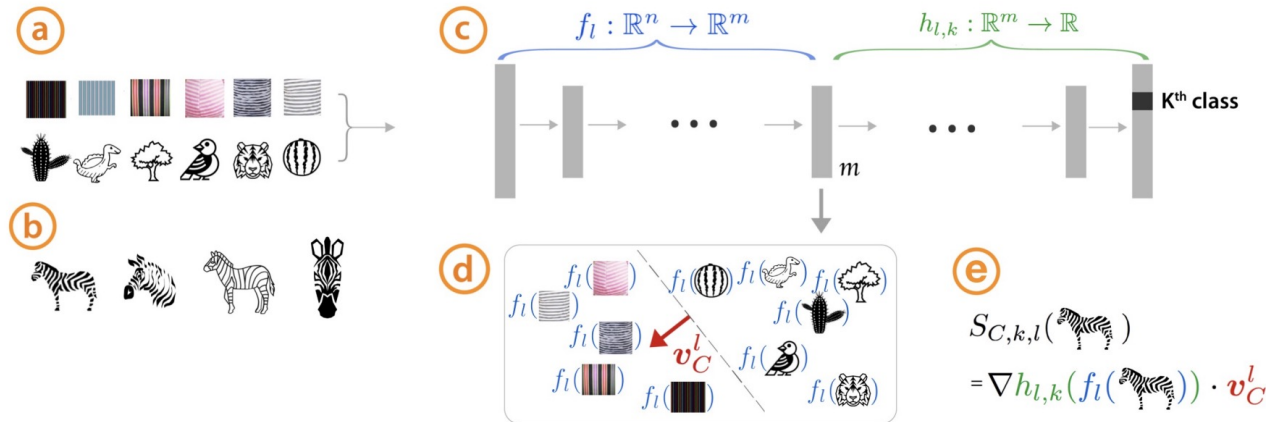
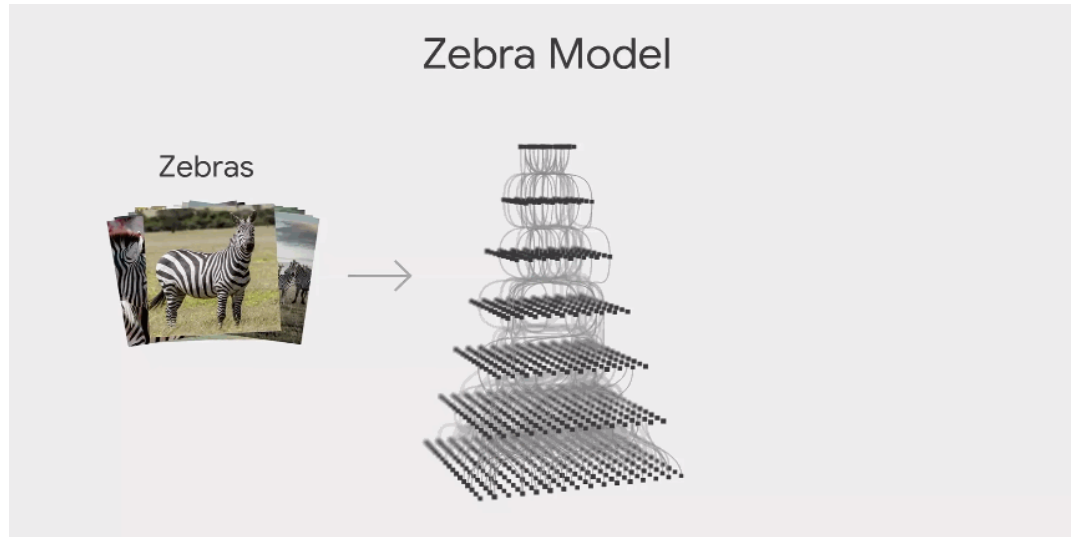
`softmax[k]`

Feature Visualization:

Specify the intermediate unit, optimize the input so that the target unit has the maximum activation response, and observe the optimized input image.

2.1 Traditional Method

Concept-based Methods



TCAV: For a concept activation vector v_l in the f_l layer of the model, the categories are c , the predicted score is f_c . Thus:

$$S_c(x) = v_l \cdot \frac{\partial f_c(x)}{\partial f_l(x)},$$

The TCAV score is the percentage of elements in category c that have a positive score S_c :

$$TCAV_C = \frac{|\{x \in \mathcal{X}^c : S_c(x) > 0\}|}{|\mathcal{X}^c|}.$$

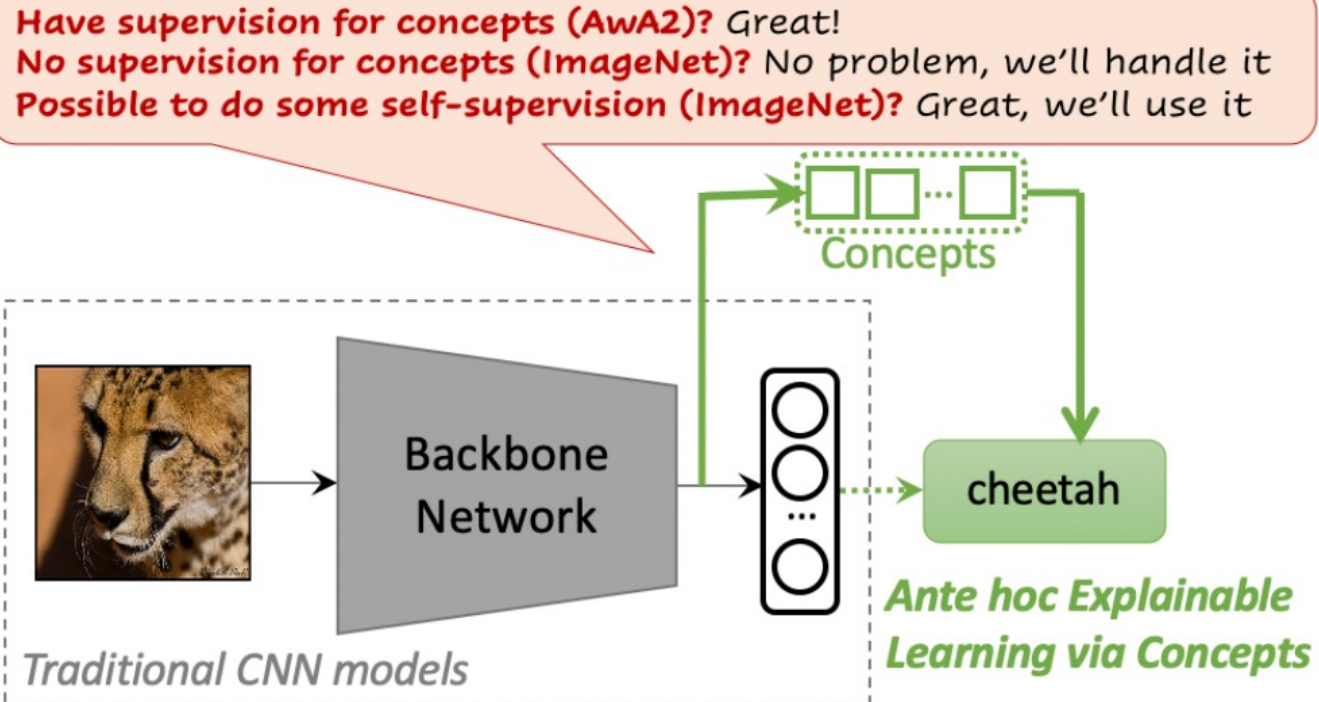
Ramaswamy *et al.*: Conceptual information in data sets is often less salient and more difficult to learn than the class of information they purport to explain.

Kim, Been, et al. "Interpretability beyond feature attribution: Quantitative testing with concept activation vectors (TCAV)." *ICML*, 2018.

Ramaswamy, Vikram V., et al. "Overlooked Factors in Concept-Based Explanations: Dataset Choice, Concept Learnability, and Human Capability." *CVPR*. 2023.

2.1 Traditional Method

Concept-based Methods

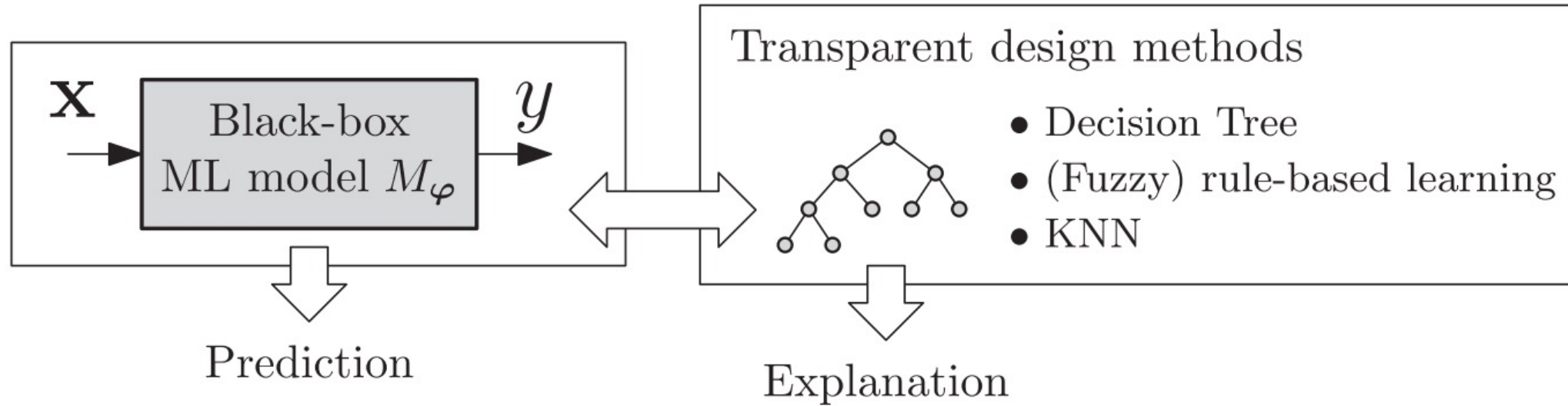


Self-Explaining Neural Networks

Annotated semantic concepts are explicitly learned during the model learning process, and category features and concept information are combined when inferring categories. Its interpretability lies in the semantic concepts generated when the model makes decisions.

2.1 Traditional Method

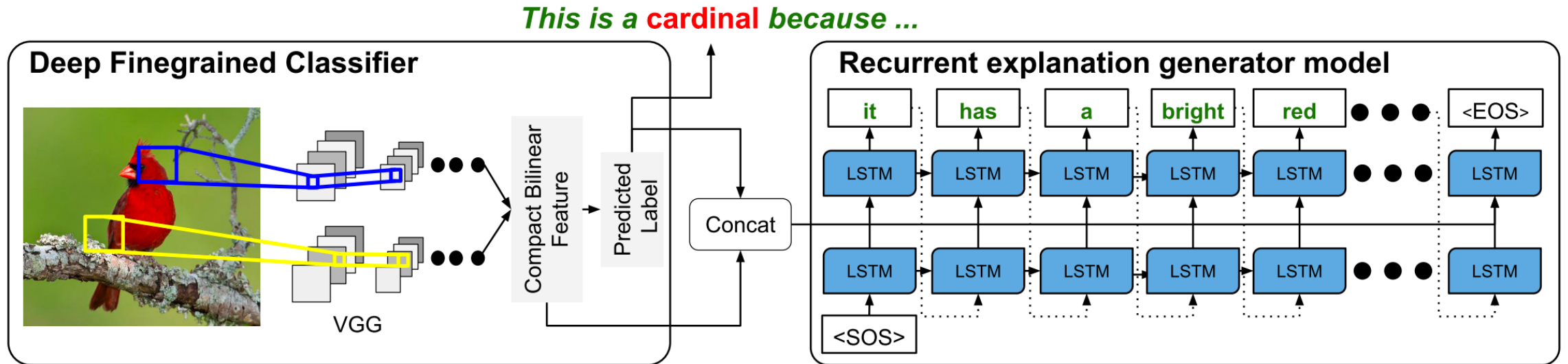
Agent model-based Methods



Mapping an uninterpretable black-box system into a white-box twin that is easier to explain. But it usually **affects the performance of the final model.**

2.1 Traditional Method

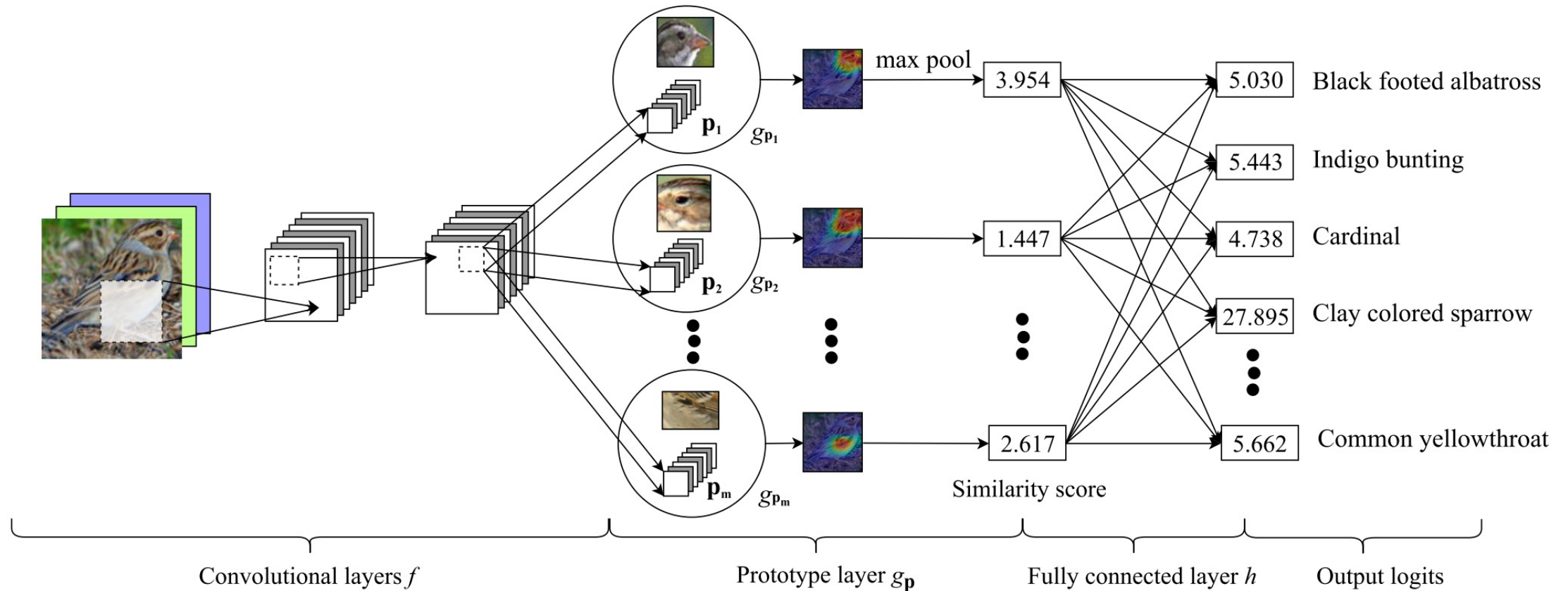
Multi-modal-based Methods



Interpreting a black box model with an **uninterpretable model is worrisome.**

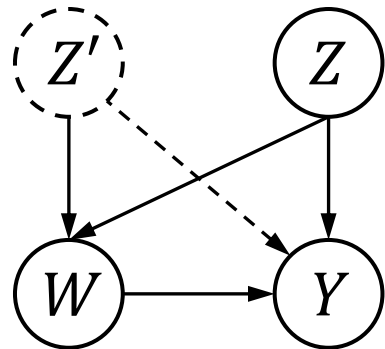
2.1 Traditional Method

Prototype-based Methods



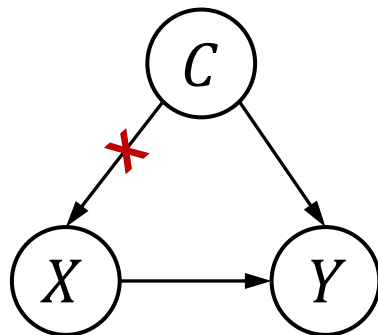
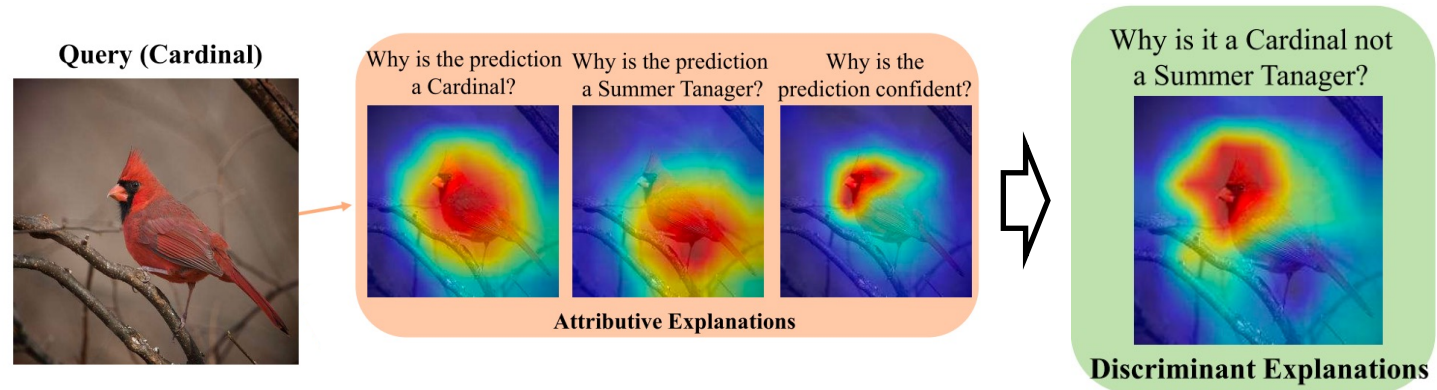
It needs to specify the characteristics of the concept prototype, and has **poor versatility and scalability**.

2.1 Traditional Method



Counterfactual Inference

Causal-based Methods



Causal Intervention

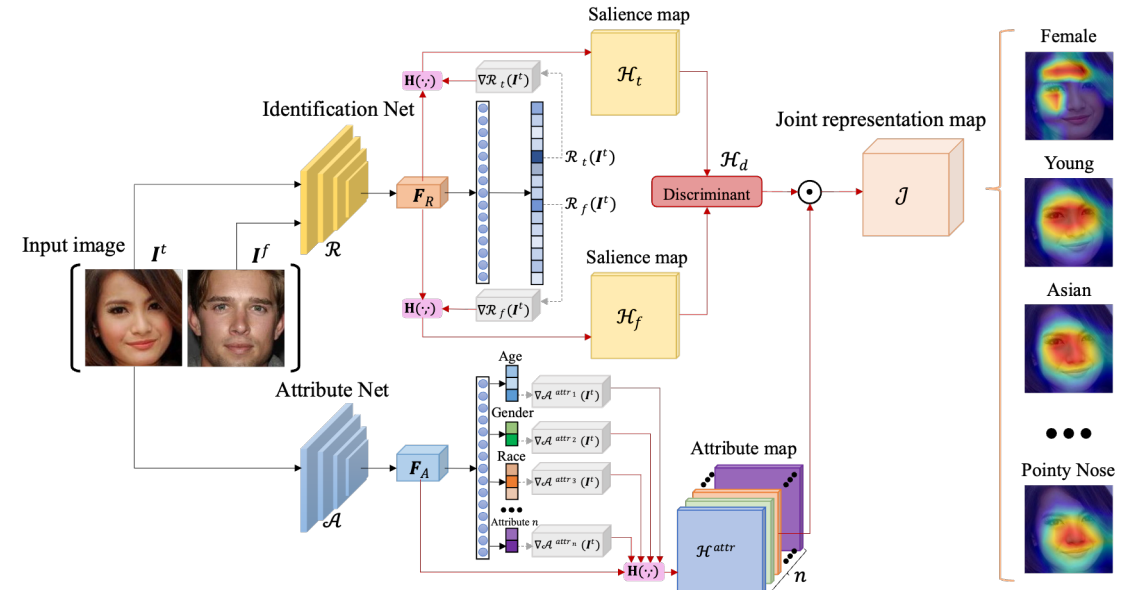
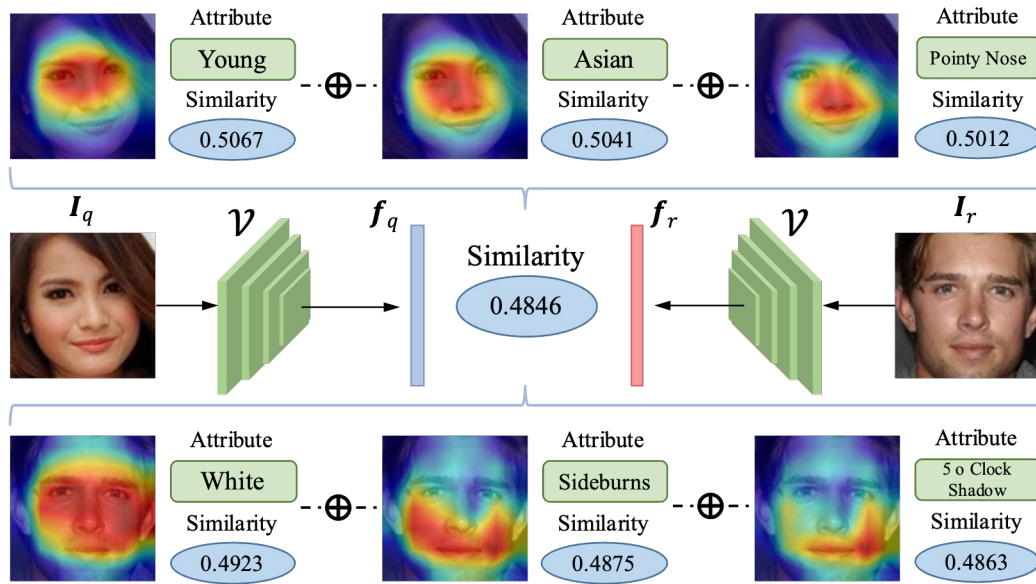


$$P(Y|do(X)) = \sum_{t \in \mathcal{T}} P(Y|X, t)P(t)$$

↙ A priori factors that could bias the model

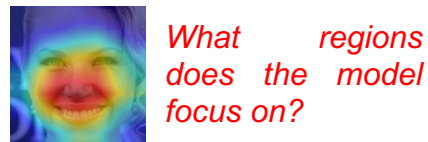
2.1 Traditional Method

Multi explanations output

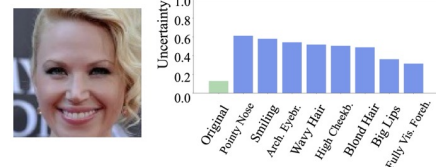


Sim2Word interprets model via

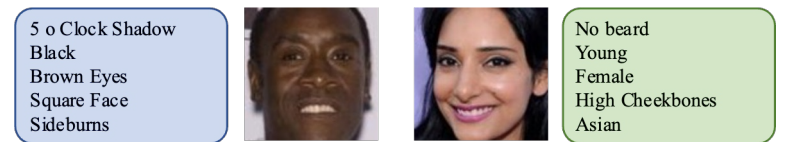
- Saliency Maps
- Textual Description
- Numerical Score



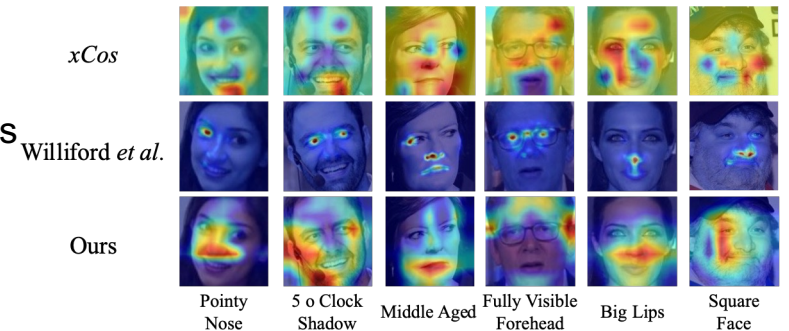
The most characteristic attribute is the **pointy**



Top-5 most characteristic attribute



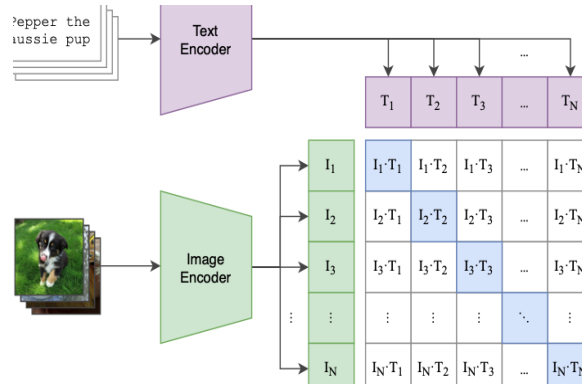
SOTA methods comparison



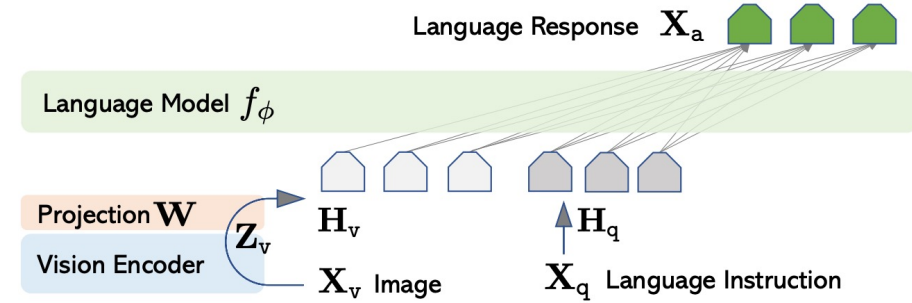
2. Interpretation for Large Model

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2.2 Category and Challenge



Multimodal Embedding
Representation Foundation Model



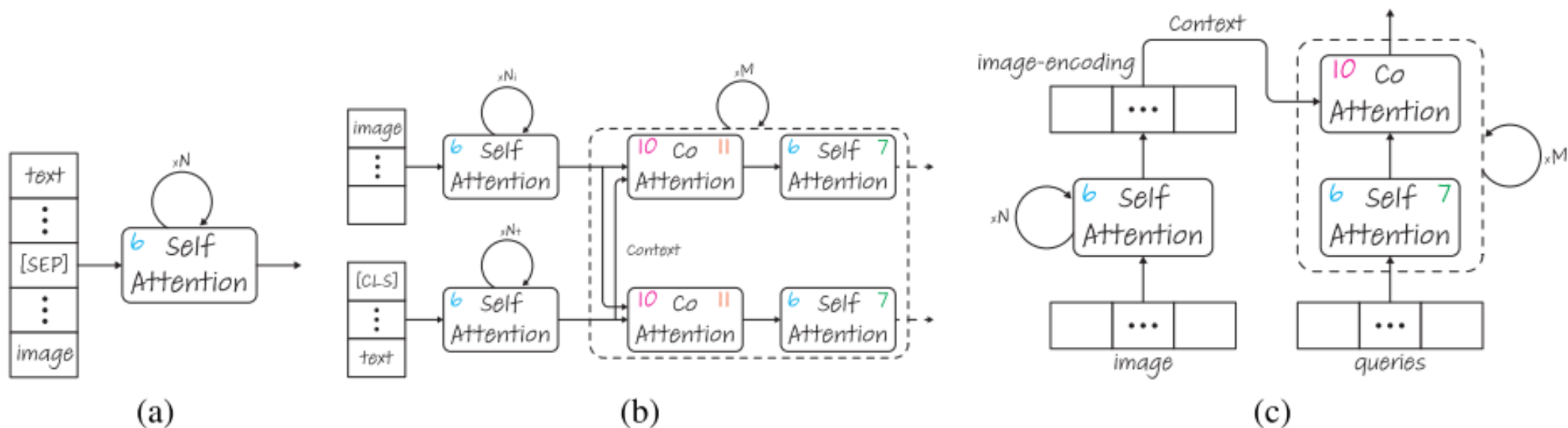
Generative Foundation Model

Characteristic	<ul style="list-style-type: none"> ✓ Multi-stream architecture ✓ Transformer architecture ✓ Encoder model ✓ Zero-shot ability 	<ul style="list-style-type: none"> ✓ Large parameter amount ✓ Generative model ✓ Multimodal input ✓ Prompt learning ability
Shortcomings of traditional methods	<ul style="list-style-type: none"> ❑ May not be suitable for explaining models that handle multi-modal inputs. ❑ Fail to consider the unique properties of multimodal models ❑ Methods of ViT and CNN are not universal! 	<ul style="list-style-type: none"> ❑ The parameter amount is very large ❑ There is a relative lack of interpretation research ❑ The internal structure is very complicated ❑ Unable to quantitatively metric the generated results
Advantages brought by new models	<ul style="list-style-type: none"> ❑ Higher level semantic understanding capabilities ❑ Can explain any concept to enhance understandability 	<ul style="list-style-type: none"> ❑ Rich dialogue content to assist explanations ❑ More convenient human-computer interaction ❑ The generated outputs are more diverse and semantic

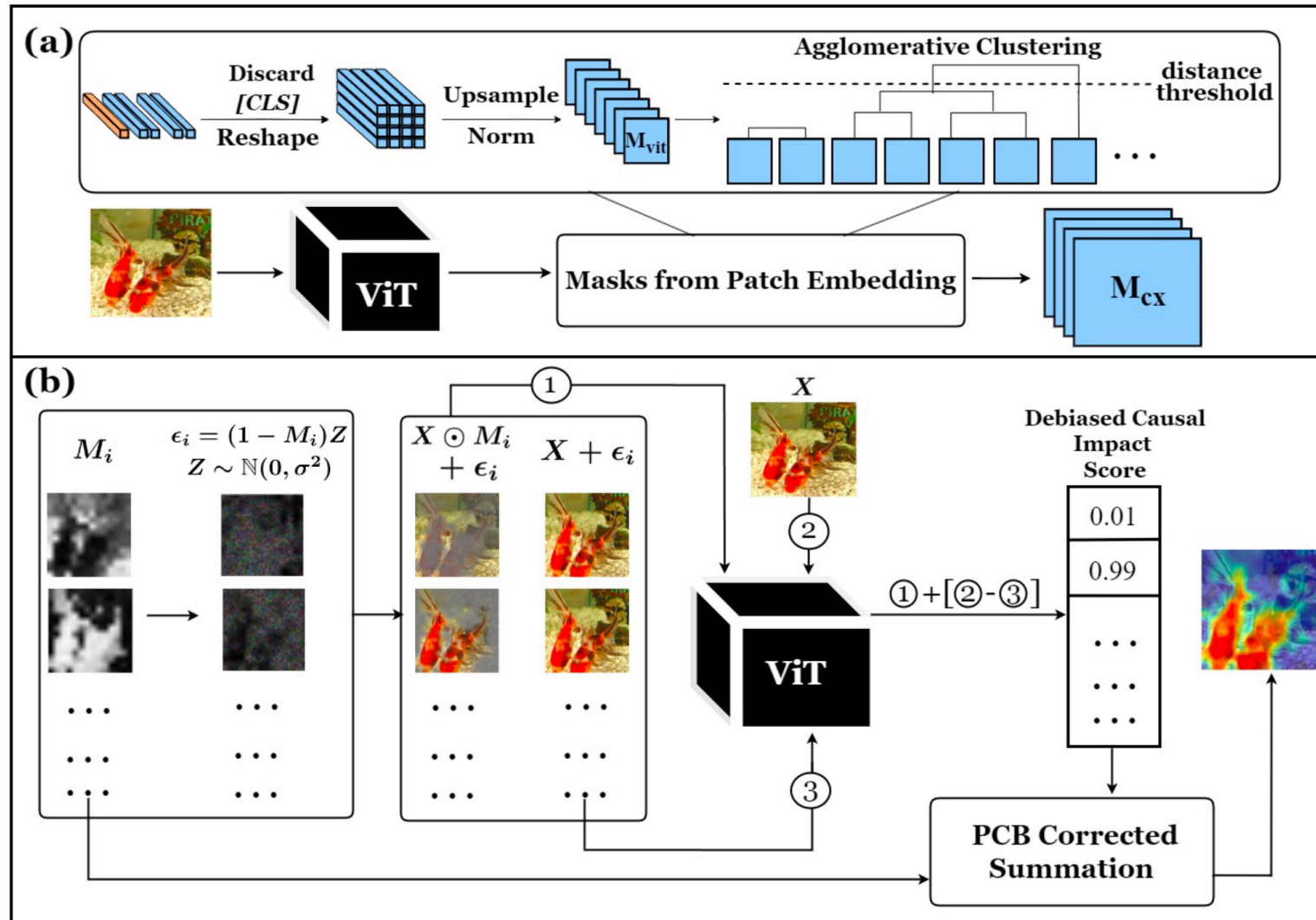
2. Interpretation for Large Model

- Tradition Method
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2.3 CLIP Interpretation



2.3 CLIP Interpretation



2.3 CLIP Interpretation

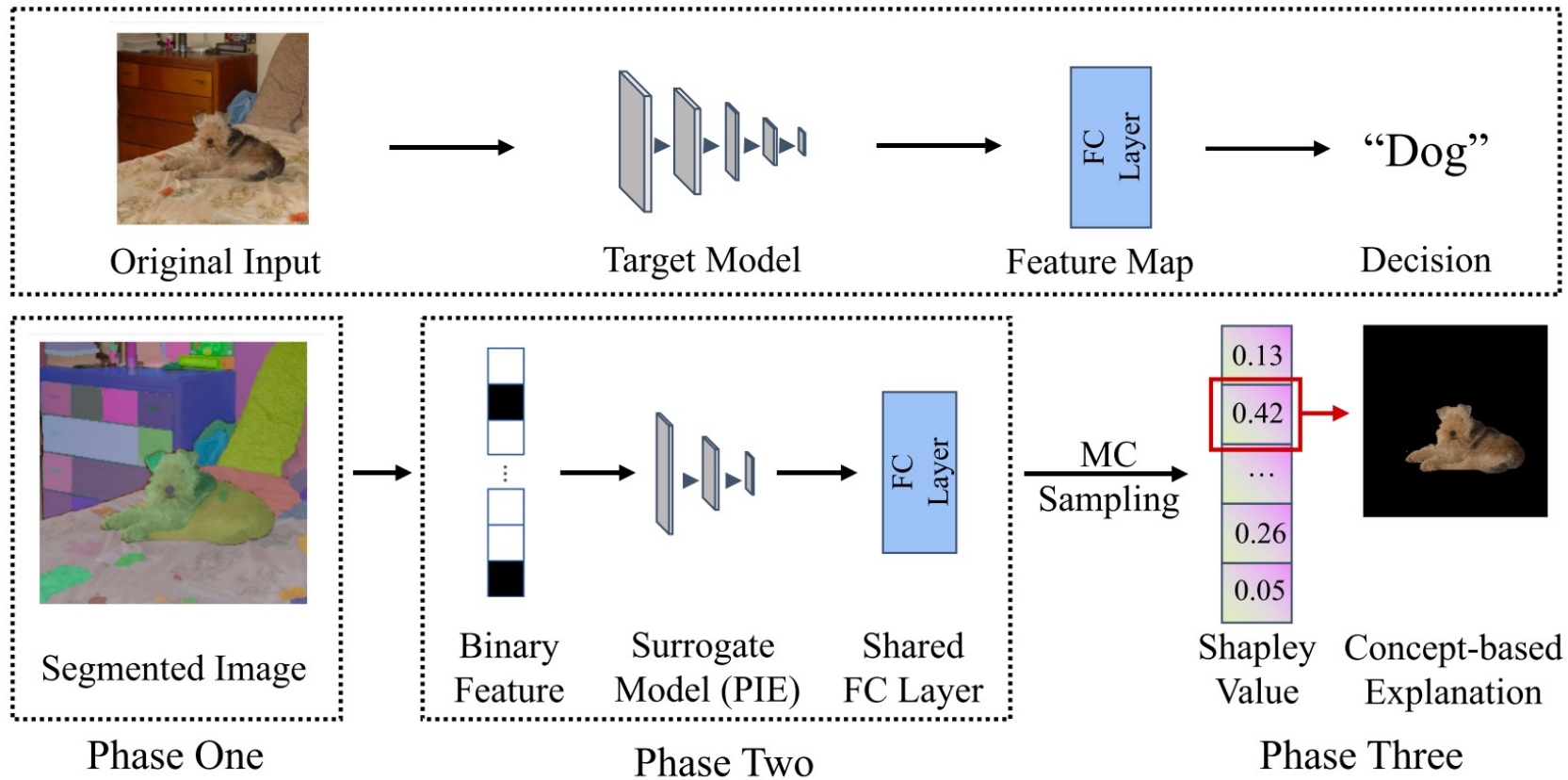
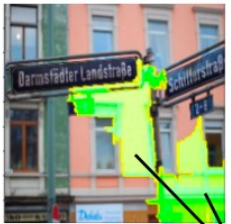


Figure 1: The technical pipeline of EAC in a three-phase form.

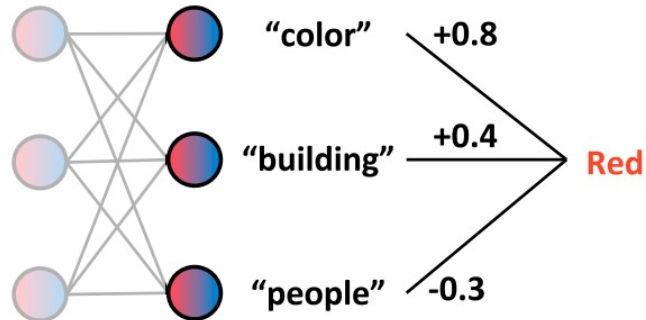
2.3 CLIP Interpretation

- 1. Unimodal importance
What color is the building?
- 2. Cross-modal interactions



*What color is the **building**?*

- 3. Multimodal representations



- 4. Multimodal prediction



Local analysis of given datapoint

What color is the building?

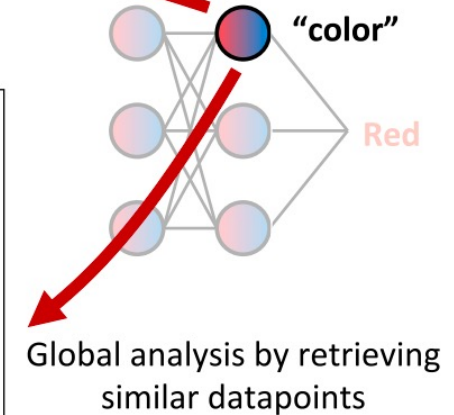


What color is the Salisbury Rd sign?

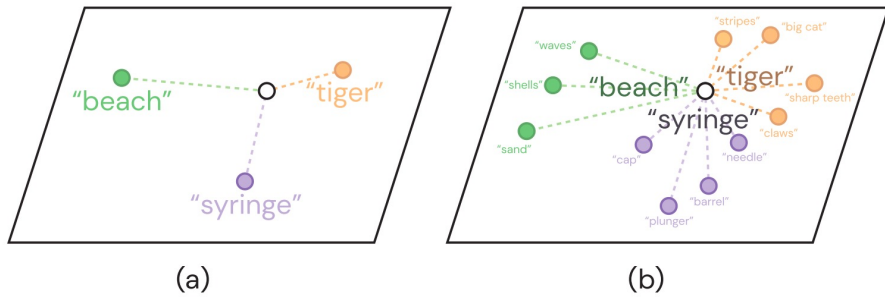


What color are the checkers on the wall?

- 3. Multimodal representations



2.3 CLIP Interpretation



Mining large language models to automatically build descriptors

School bus

- large, yellow vehicle
- the words "school bus" written on the side
- a stop sign that deploys from the side of the bus
- flashing lights on the top of the bus
- large windows

Shoe store

- a building with a sign that says "shoe store"
- a large selection of shoes in the window
- shoes on display racks inside the store
- a cash register
- a salesperson or customer

Volcano

- a large, cone-shaped mountain
- a crater at the top of the mountain
- lava or ash flowing from the crater
- a plume of smoke or ash rising from the crater

Barber shop

- a building with a large, open storefront
- a barber pole or sign outside the shop
- barber chairs inside the shop
- mirrors on the walls
- shelves or cabinets for storing supplies
- a cash register
- a waiting area for customers

Cheeseburger

- a burger patty
- cheese
- a bun
- lettuce
- tomato
- onion
- pickles
- ketchup
- mustard

Violin

- a stringed instrument
- typically has four strings
- a wooden body
- a neck and fingerboard
- tuning pegs
- a bridge
- a soundpost
- f-holes
- a bow

Pirate ship

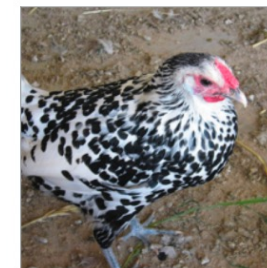
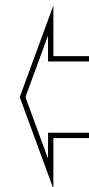
- a large, sailing vessel
- a flag with a skull and crossbones
- cannons on the deck
- a wooden hull
- portholes
- rigging
- a crow's nest

Example of a descriptor pattern generated by GPT-3.

$$s(c, x) = \frac{1}{|D(c)|} \sum_{d \in D(c)} \phi(d, x)$$

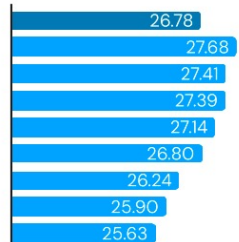
Architecture for ϕ		ImageNet			ImageNetV2			CUB		
		Ours	CLIP	Δ	Ours	CLIP	Δ	Ours	CLIP	Δ
Vision Transformers	ViT-B/32	62.97	58.46	4.51	55.52	51.90	3.62	52.57	51.95	0.62
	ViT-B/16	68.03	64.05	3.98	61.54	57.88	3.66	57.75	56.35	1.40
	ViT-L/14	75.00	71.58	3.42	69.3	65.33	3.97	63.46	63.08	0.38
	ViT-L/14@336px	76.16	72.97	3.19	70.32	66.58	3.74	65.257	63.41	1.847
ResNets	RN50	59.44	54.81	4.63	52.98	49.43	3.55	48.91	47.79	1.12
	RN101	61.88	57.65	4.23	55.43	51.13	4.30	51.59	49.46	2.13
	RN50x4	66.05	61.48	4.27	59.23	54.85	4.38	55.97	54.99	0.98
	RN50x16	69.45	66.28	3.17	62.68	58.8	3.88	59.03	57.59	1.44
	RN50x64	73.19	69.63	3.56	66.82	63.02	3.80	64.62	64.24	0.38

The ImageNet and ImageNetV2 models have consistent ~3-5% improvements, and CUB has ~1% improvements.



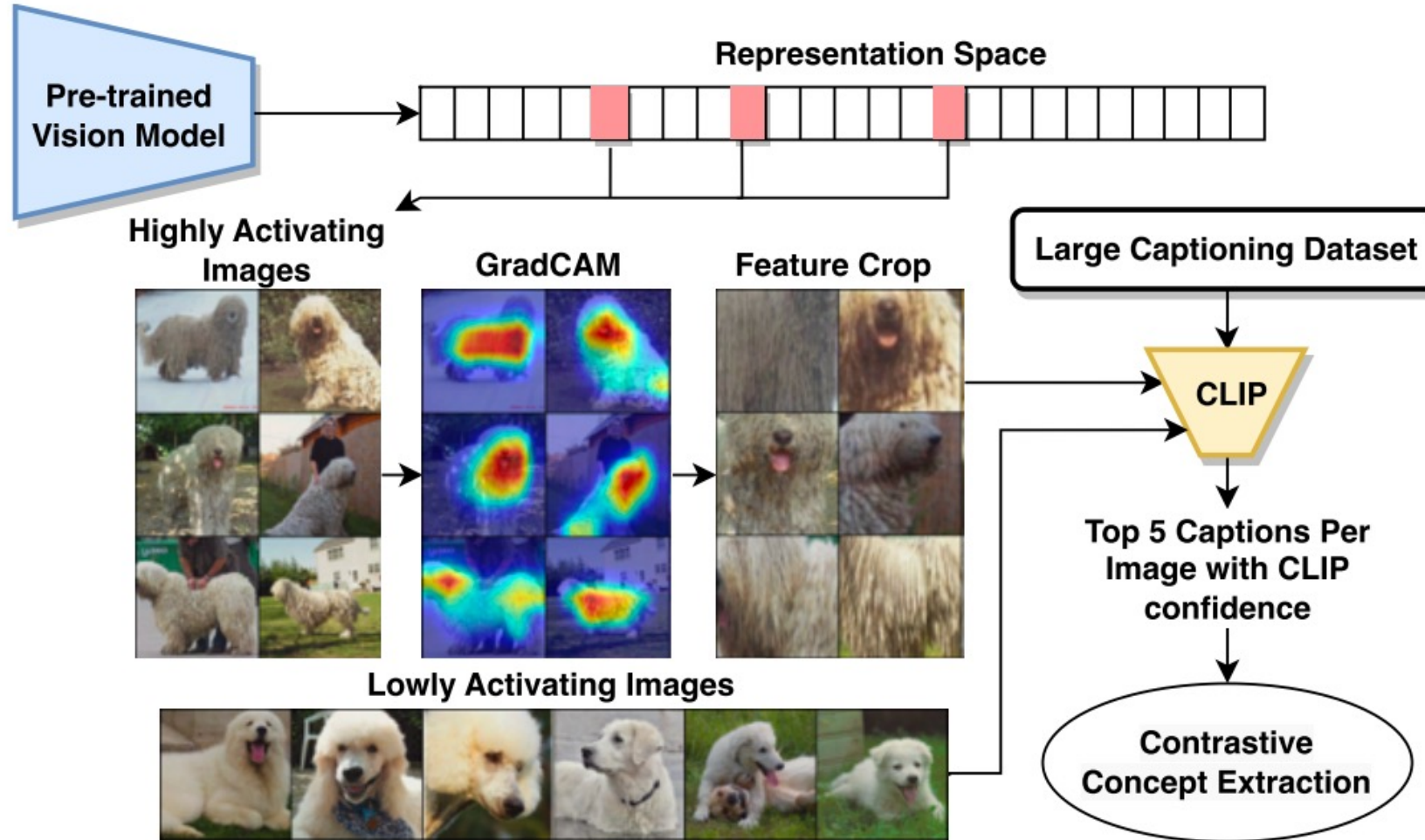
Our top prediction: **Hen**
and we say that because...

- two legs
- red, brown, or white feathers
- a small body
- a small head
- two wings
- a tail
- a beak
- a chicken

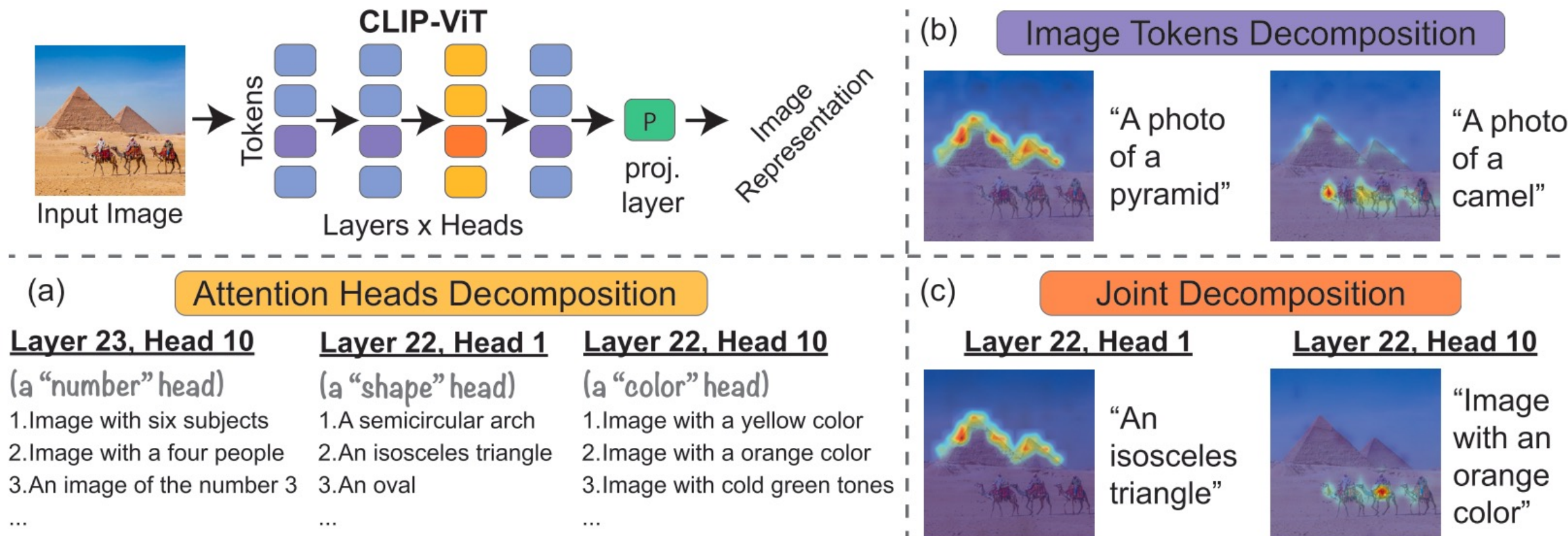


CLIP makes decisions through descriptors.

2.3 CLIP Interpretation



2.3 CLIP Interpretation



2.3 CLIP Interpretation

Summary

- ❑ How to take advantage of the characteristics of the multi-modal encoder foundation model and use text descriptions that are **easy for humans to understand** to assist interpretation?
- ❑ How to understand **the internal operating mechanism** of the multimodal basic model? Are some of the assumptions correct? Or should it be understood this way?
- ❑ How to build a unified **causal graph model** to cope with the challenges of huge parameter quantities and consumed parameter reasoning in large models?
- ❑ How to **disentangle features** to aid human understanding?
- ❑ How to design a more convenient and **interpretable model** result while adapting to the huge amount of training data in large models.

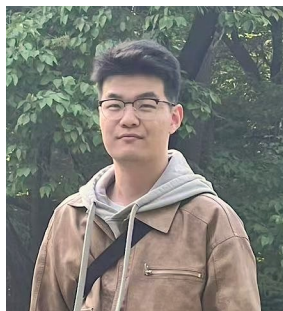


NUS
National University
of Singapore



ICLR

Less is More: Fewer Interpretable Region via Submodular Subset Selection



Ruoyu Chen



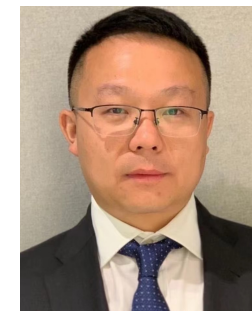
Hua Zhang



Siyuan Liang



Jingzhi Li



Xiaochun Cao



Paper

ICLR 2024
Selected as **Oral Presentation (1.16%)**



Code

Image Attribution

The main objective in attribution techniques is to highlight the discriminating variables for decision-making.

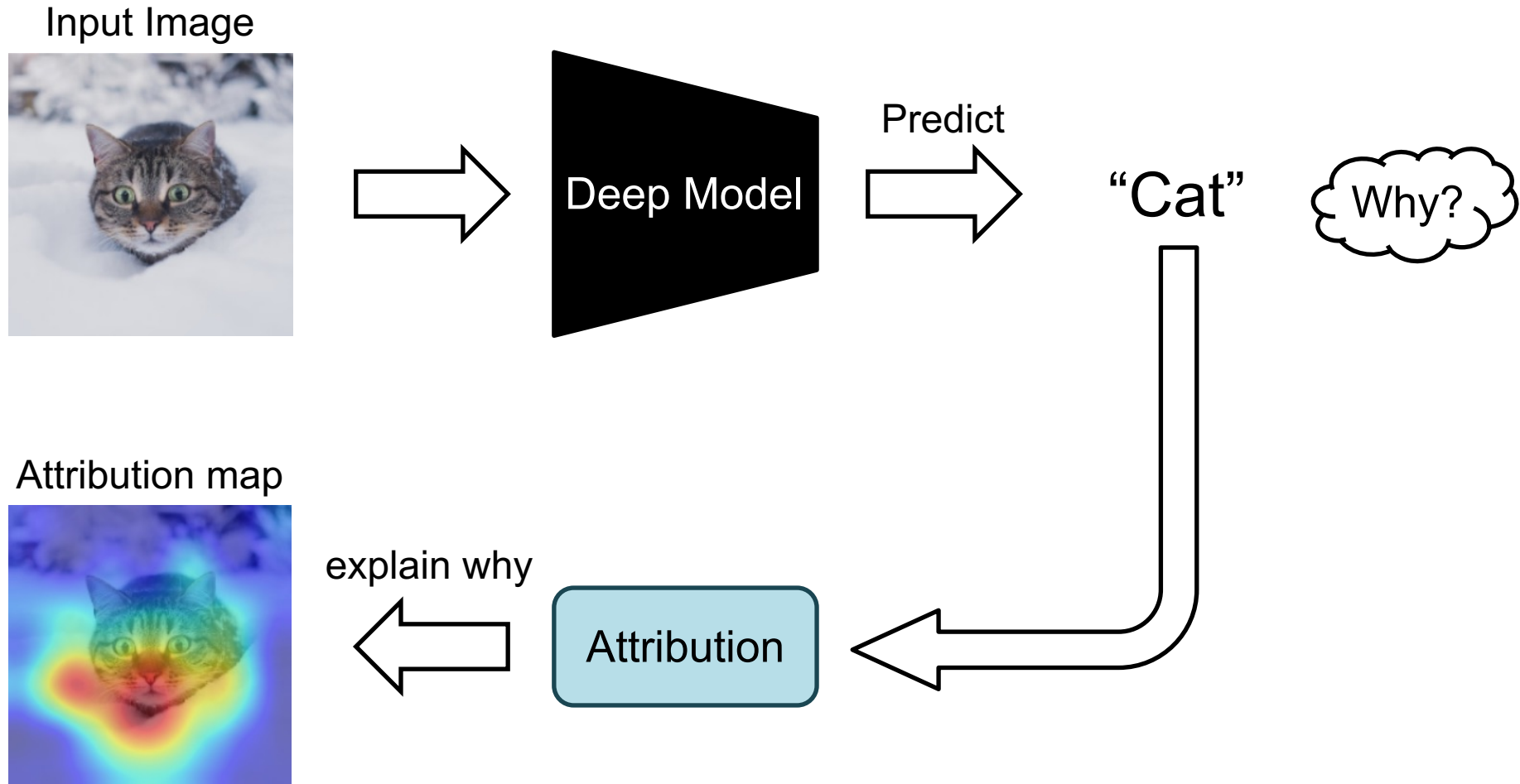
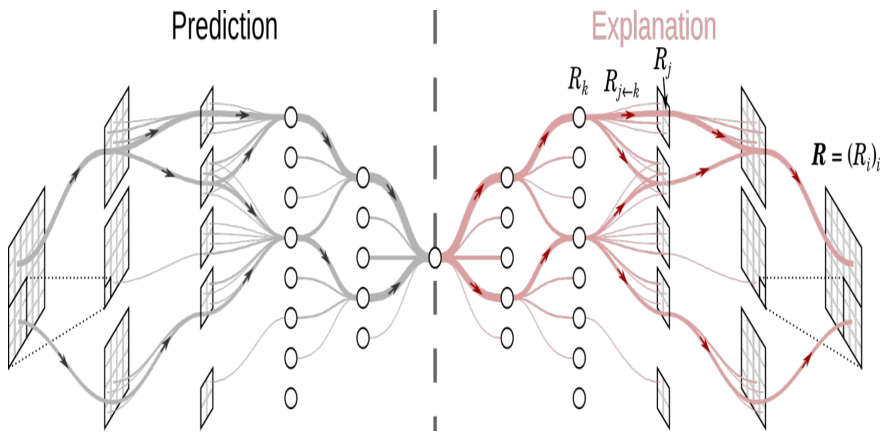


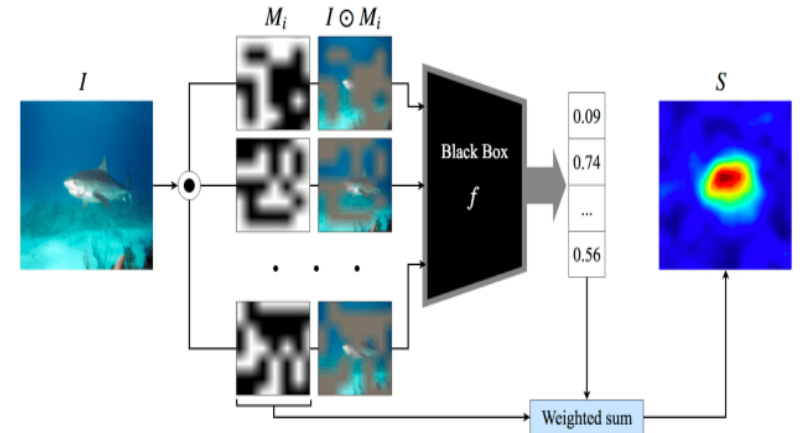
Image Attribution



Based on inner propagation, activation, or gradient



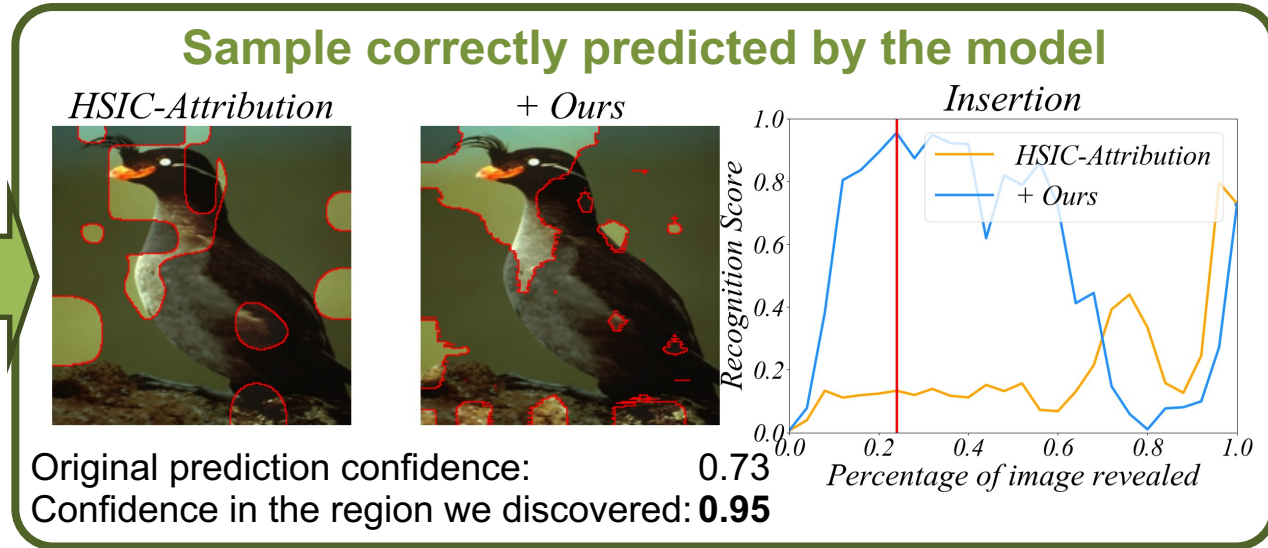
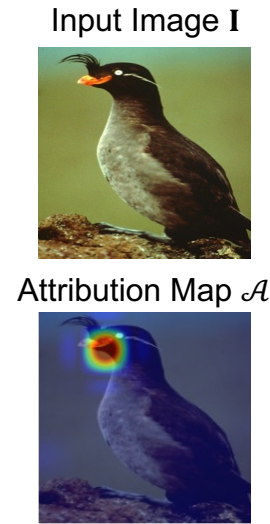
Based on sharpley value estimation



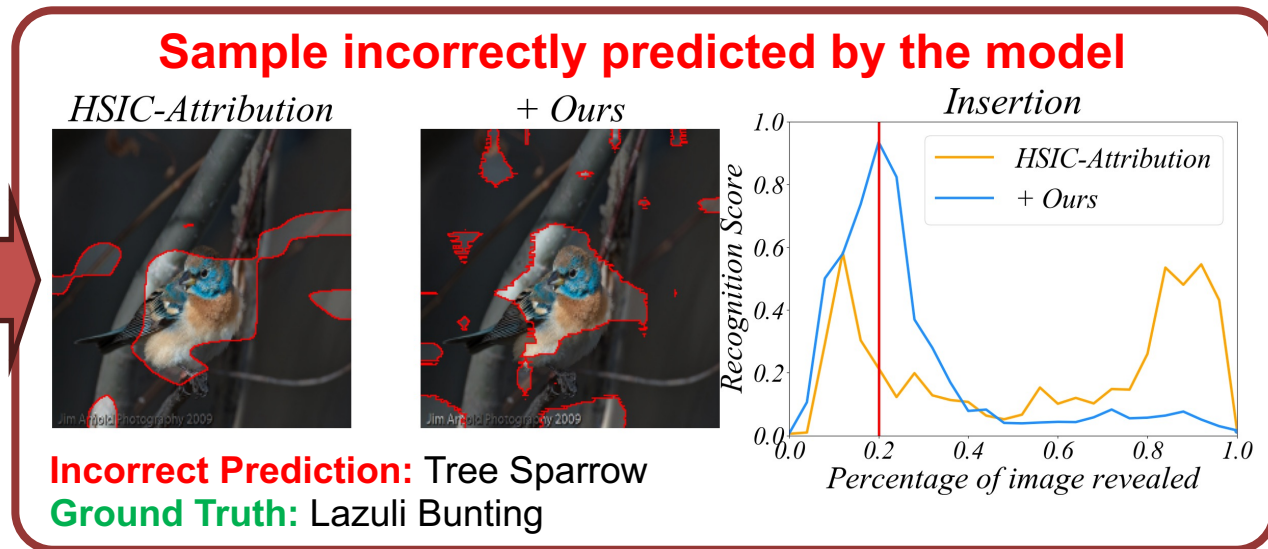
Based on perturbation

Challenge in Attribution

Existing attribution methods generate inaccurate small regions thus misleading the direction of correct attribution.



They also can't produce good attribution results for samples with wrong predictions.

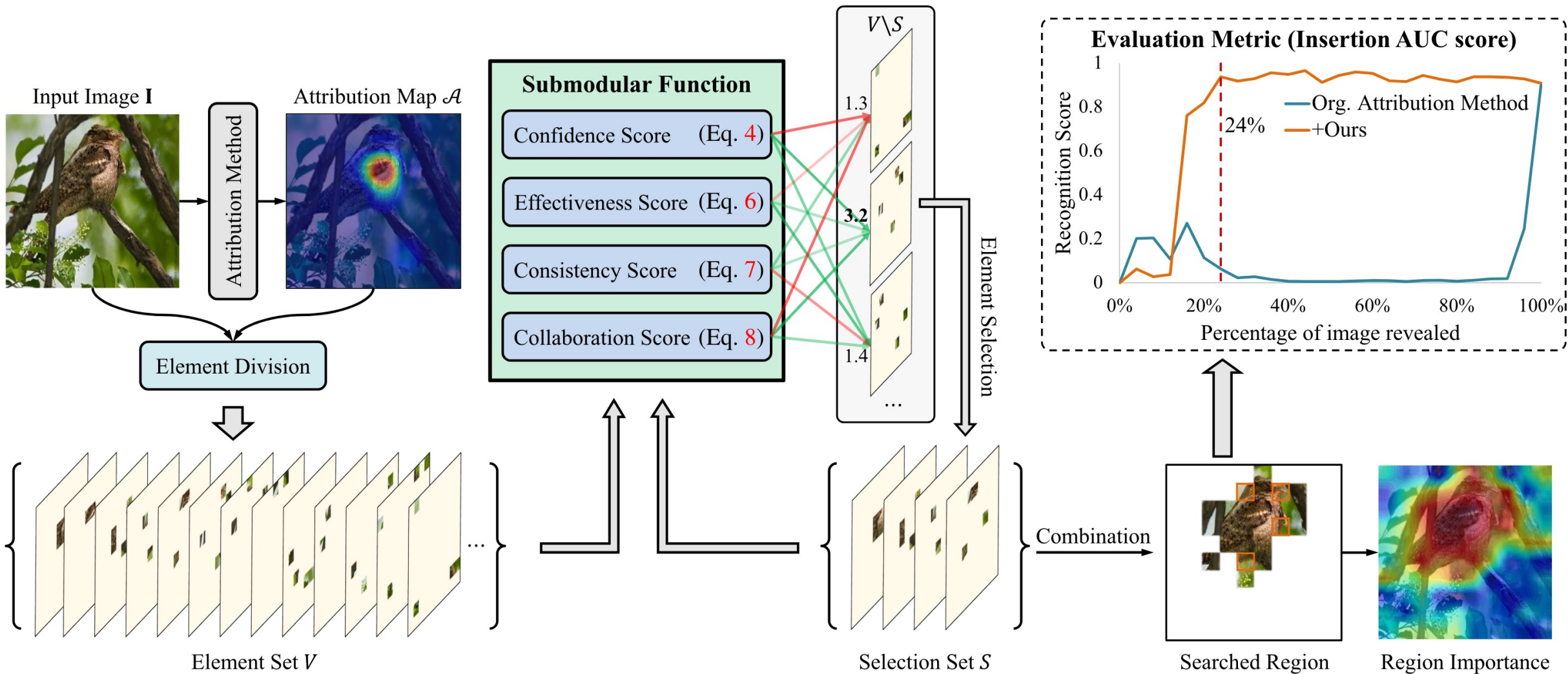


Our Solution

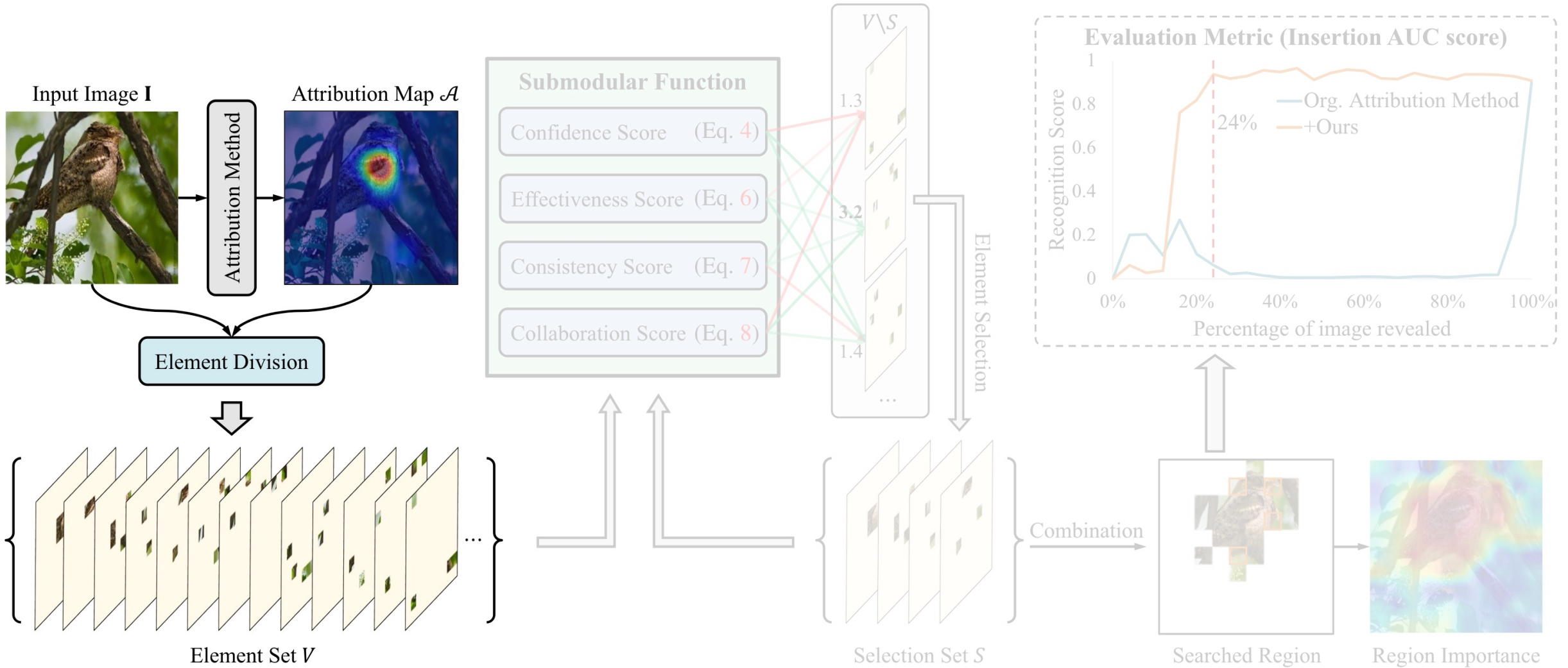
Divide the image into a set of small sub-regions and ranking the sub-regions according to their importance.

- Reformulate the attribution problem as a *submodular subset selection problem*;
- Employ *regional search* to expand the sub-region set to *alleviate the insufficient dense of the attribution region*;
- A novel *submodular mechanism* is constructed to *limit the search for regions with wrong class responses*.

Method

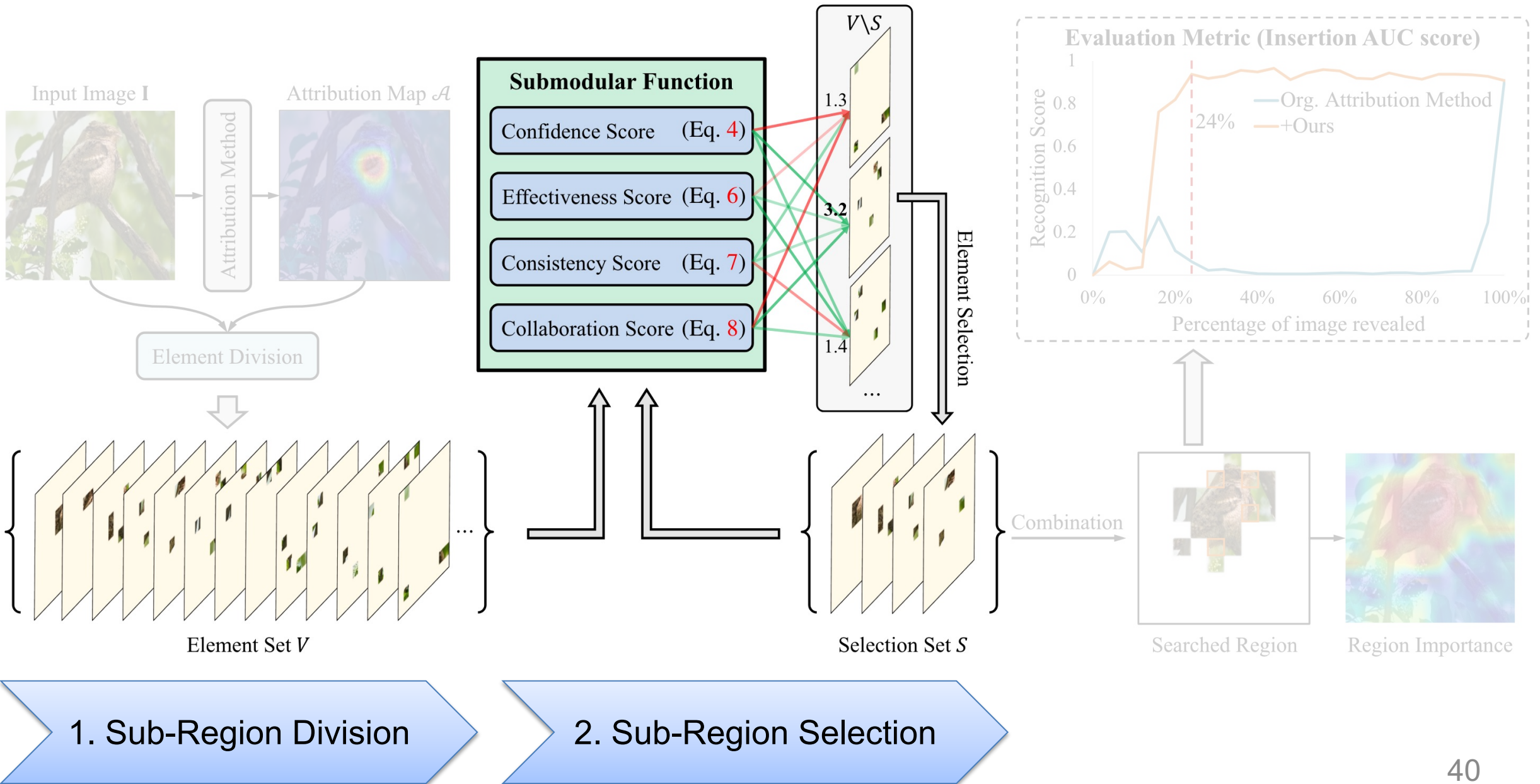


Method

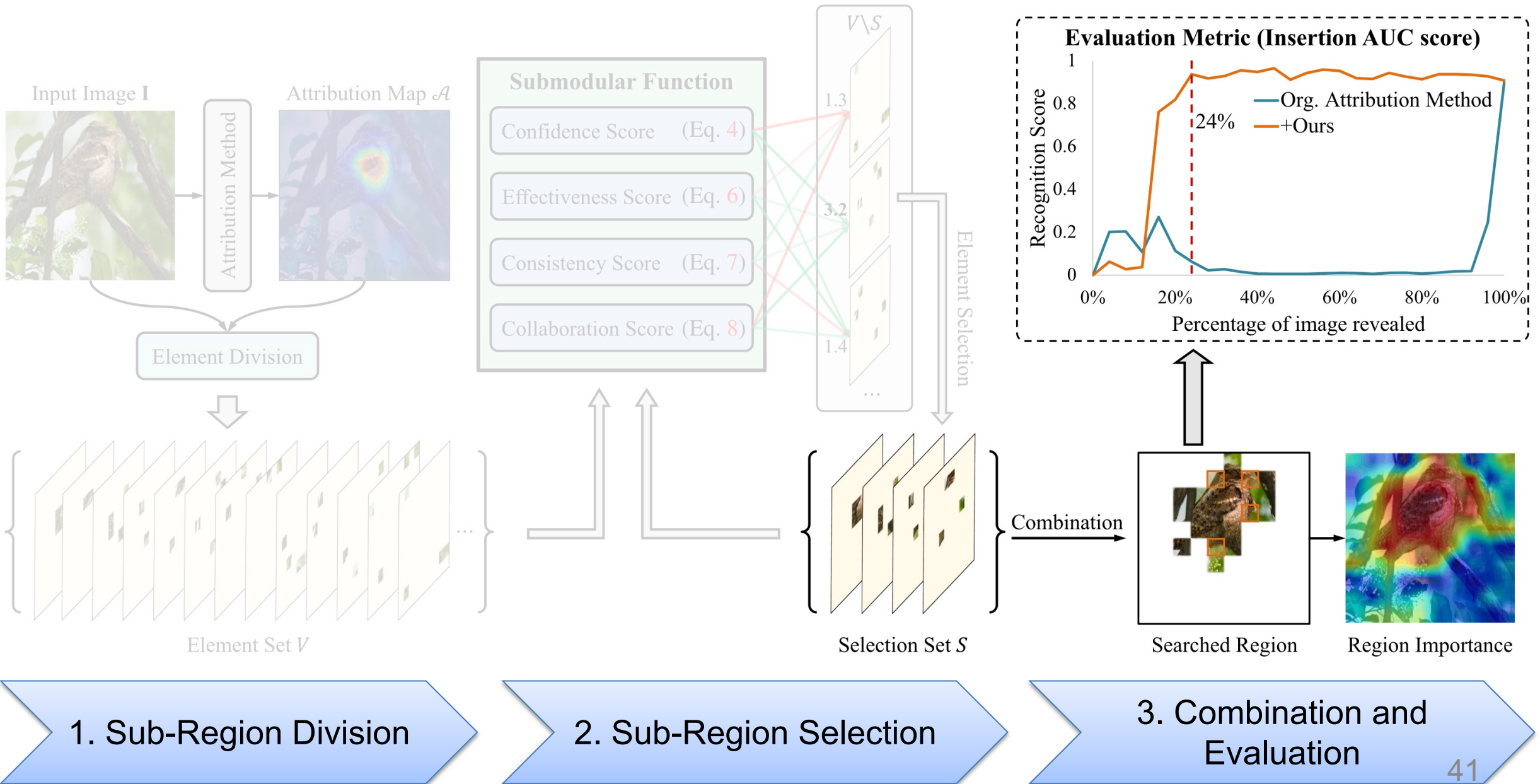


1. Sub-Region Division

Method

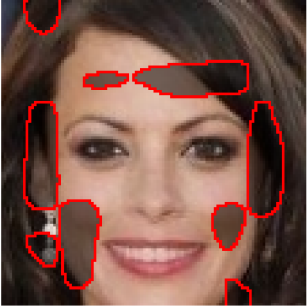


Method

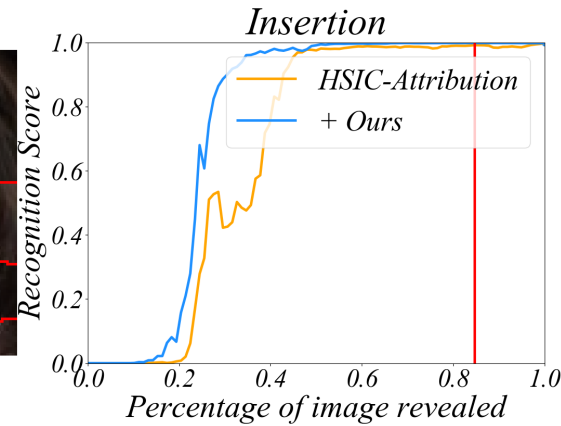
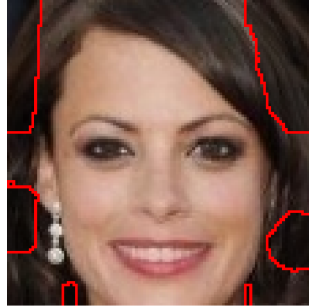


Advanced Attribution Results

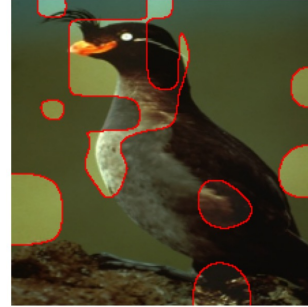
HSIC-Attribution



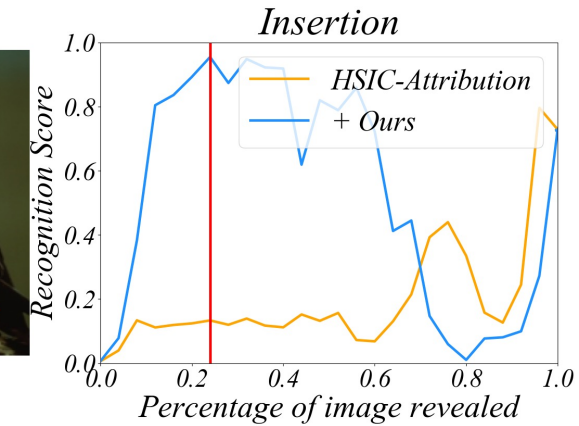
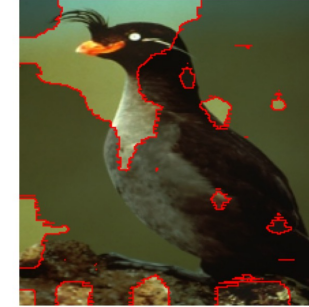
+ Ours



HSIC-Attribution



+ Ours



Use fewer image region but get higher prediction confidence.

Table 1: Deletion and Insertion AUC scores on the Celeb-A, VGG-Face2, and CUB-200-2011 validation sets.

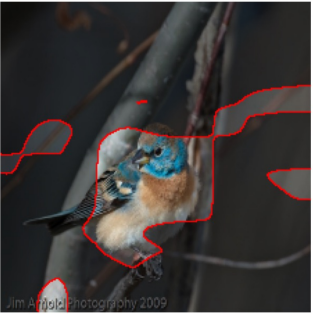
Method	Celeb-A		VGGFace2		CUB-200-2011	
	Deletion (↓)	Insertion (↑)	Deletion (↓)	Insertion (↑)	Deletion (↓)	Insertion (↑)
Saliency (Simonyan et al., 2014)	0.1453	0.4632	0.1907	0.5612	0.0682	0.6585
Saliency (w/ ours)	0.1254	0.5465	0.1589	0.6287	0.0675	0.6927
Grad-CAM (Selvaraju et al., 2020)	0.2865	0.3721	0.3103	0.4733	0.0810	0.7224
Grad-CAM (w/ ours)	0.1549	0.4927	0.1982	0.5867	0.0726	0.7231
LIME (Ribeiro et al., 2016)	0.1484	0.5246	0.2034	0.6185	0.1070	0.6812
LIME (w/ ours)	0.1366	0.5496	0.1653	0.6314	0.0941	0.6994
Kernel Shap (Lundberg & Lee, 2017)	0.1409	0.5246	0.2119	0.6132	0.1016	0.6763
Kernel Shap (w/ ours)	0.1352	0.5504	0.1669	0.6314	0.0951	0.6920
RISE (Petsiuk et al., 2018)	0.1444	0.5703	0.1375	0.6530	0.0665	0.7193
RISE (w/ ours)	0.1264	0.5719	0.1346	0.6548	0.0630	0.7245
HSIC-Attribution (Novello et al., 2022)	0.1151	0.5692	0.1317	0.6694	0.0647	0.6843
HSIC-Attribution (w/ ours)	0.1054	0.5752	0.1304	0.6705	0.0613	0.7262

Deletion: 4.9% improvement

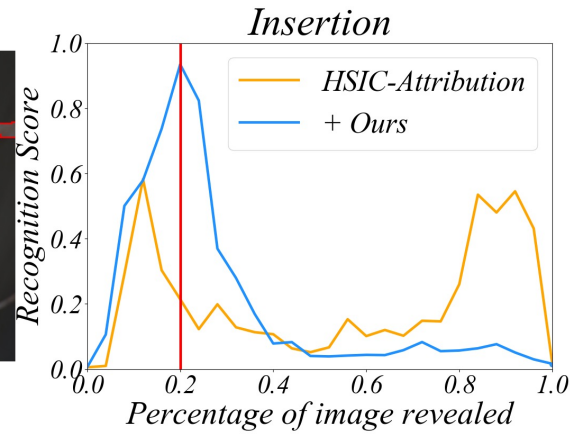
Insertion: 2.5% improvement

Debugging Model Prediction Errors

HSIC-Attribution

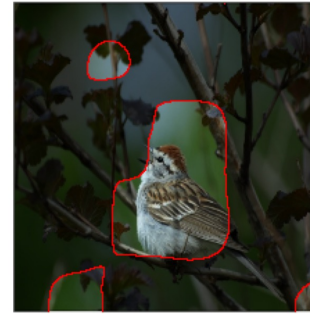


+ Ours

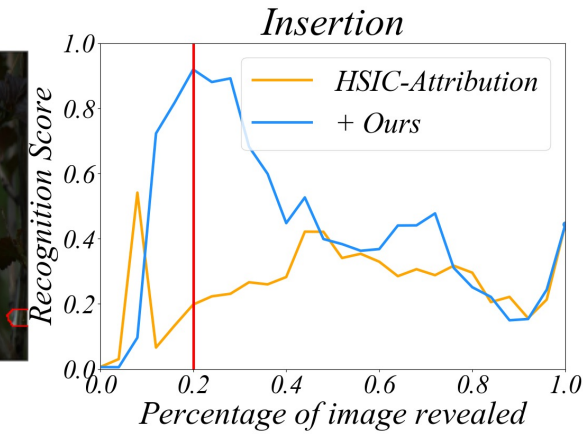
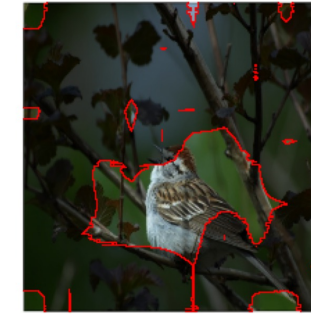


Incorrect Prediction: Tree Sparrow
Ground Truth: Lazuli Bunting

HSIC-Attribution



+ Ours

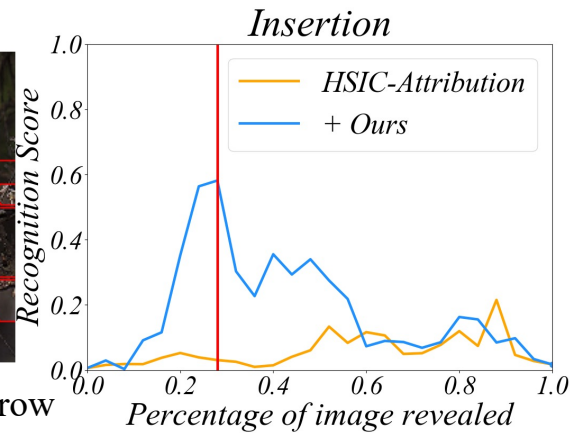
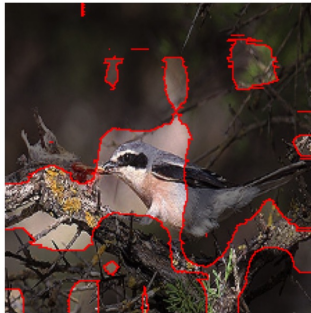


Incorrect Prediction: Tree Sparrow
Ground Truth: Chipping Sparrow

HSIC-Attribution



+ Ours

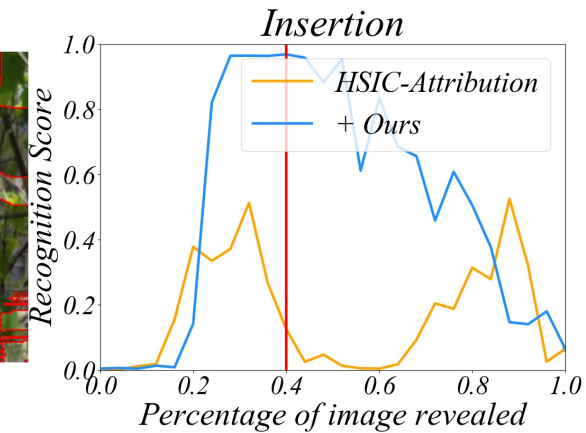
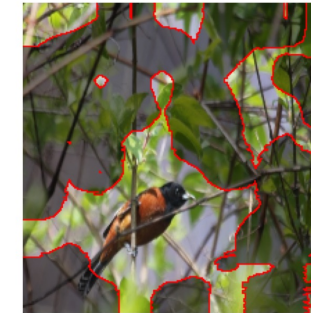


Incorrect Prediction: White Crowned Sparrow
Ground Truth: Great Grey Shrike

HSIC-Attribution



+ Ours

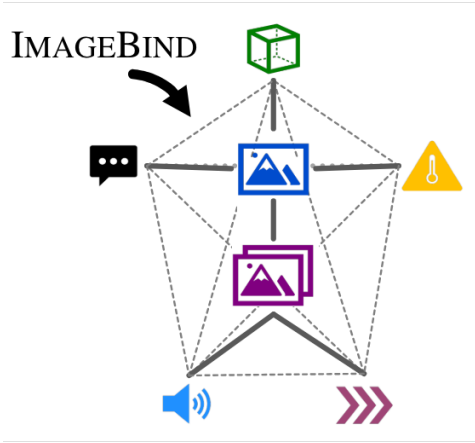


Incorrect Prediction: Hooded Oriole
Ground Truth: Orchard Oriole

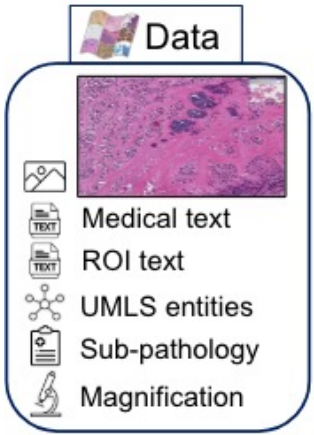
Dark regions are the cause of model prediction errors

Scale to Large Model

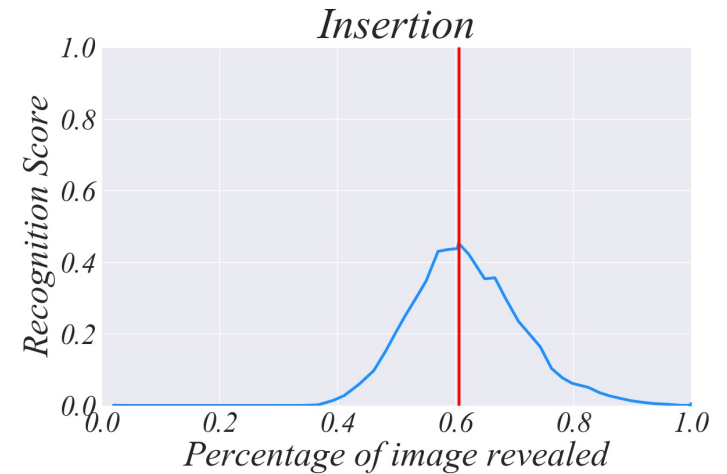
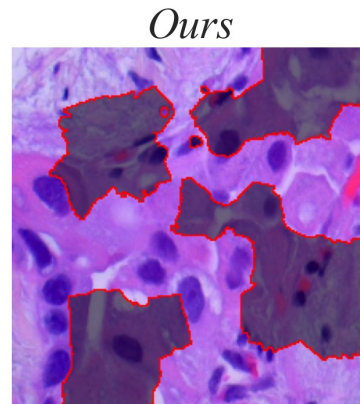
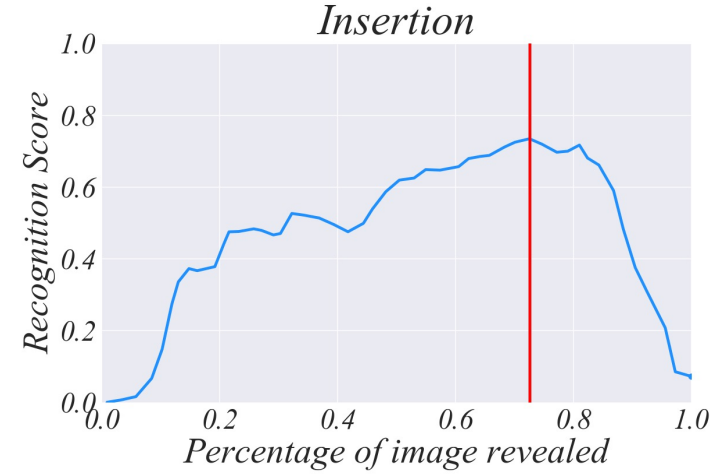
Explaining multimodal foundation model



ImageBind is a Transformer-based multimodal model that can generate joint embeddings across seven modalities



Quilt-1M is a medical multimodal model, which outperforms state-of-the-art models on both zero-shot and linear probing tasks for classifying new histopathology images



Easy to scale to large model.

Summary








- A new perspective on image attribution: submodular subset selection
- A general attribution method for image classification problems that can be easily scaled to large models
- Can effectively discover potential regions that cause model's wrong prediction

2. Interpretation for Large Model

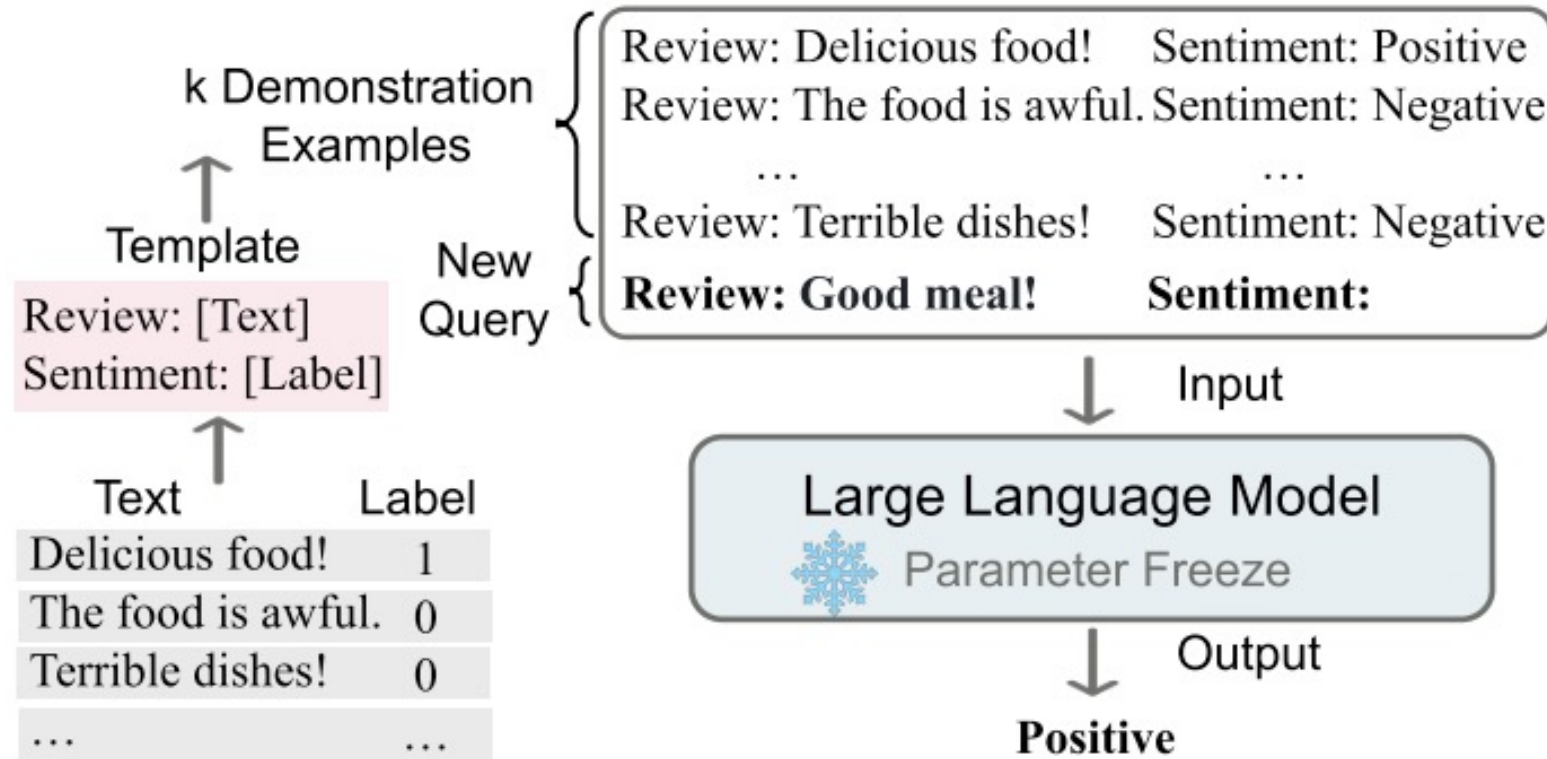
- Tradition Method
- Category and Challenge
- CLIP Interpretation
- ▣ Explainable Generative AI
- Interpret and Enhance
Model Performance During
Training

2.4 Explainable Generative AI

Examples of generative AI

Input/Output	Description	Example
Text to Text	Input: Raw text. Output: Processed or generated text.	ChatGPT-3.5 
Text to Image/Video	Input: Descriptive text or prompt. Output: Generated image/video.	DALL-E 
		Sora 
Image/Video to Text	Input: Image/video and text. Output: Textual interpretation and answer.	GPT-4 
Images, Actions to Actions	Input: Images depicting actions. Output: Generated action sequences.	Gato 
Image to Image	Input: Image/noise. Output: Generated images.	Stable Diffusion 
Text to 3D	Input: Text describing object. Output: 3D representation of object.	Magic3d 

2.4 Explainable Generative AI



In-context learning

2.4 Explainable Generative AI

Standard Prompting

Model Input

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: The answer is 11.

Q: The cafeteria had 23 apples. If they used 20 to make lunch and bought 6 more, how many apples do they have?

Model Output

A: The answer is 27. ❌

Chain-of-Thought Prompting

Model Input

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: Roger started with 5 balls. 2 cans of 3 tennis balls each is 6 tennis balls. $5 + 6 = 11$. The answer is 11.

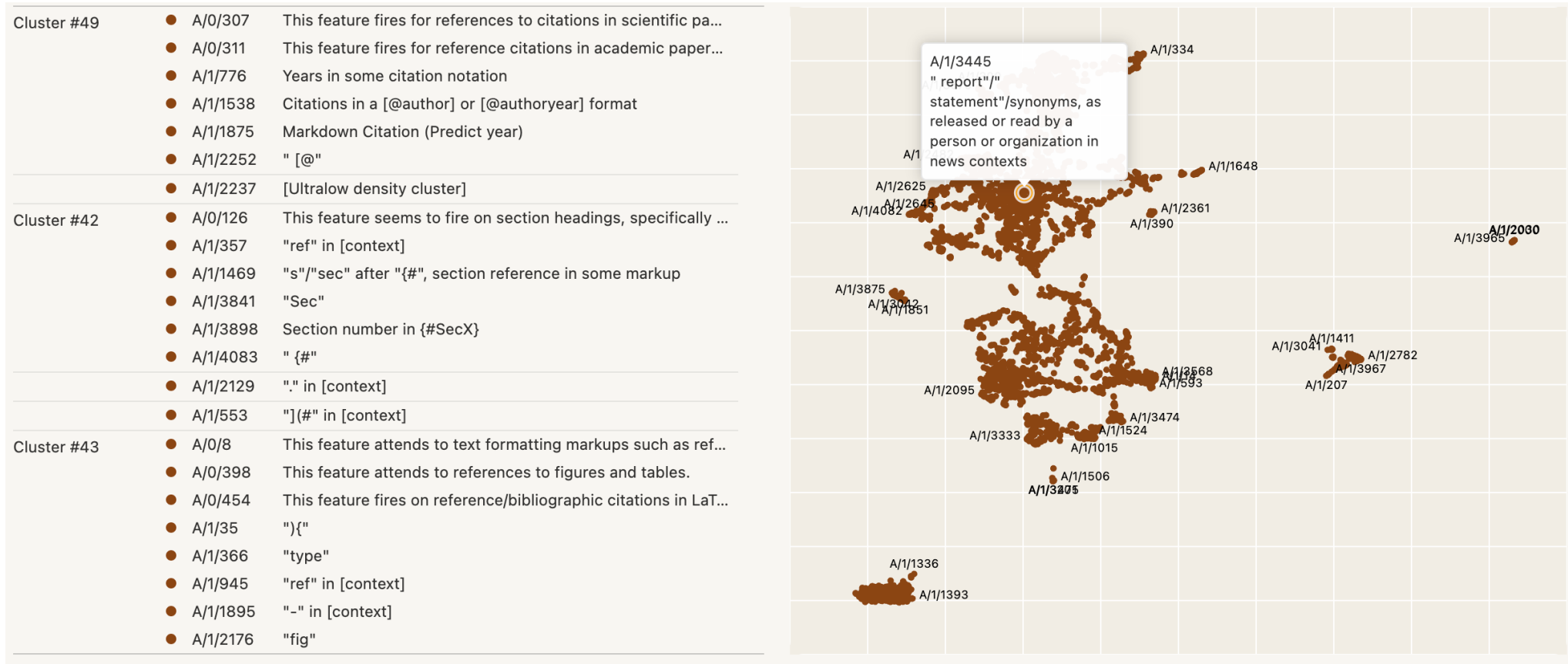
Q: The cafeteria had 23 apples. If they used 20 to make lunch and bought 6 more, how many apples do they have?

Model Output

A: The cafeteria had 23 apples originally. They used 20 to make lunch. So they had $23 - 20 = 3$. They bought 6 more apples, so they have $3 + 6 = 9$. The answer is 9. ✅

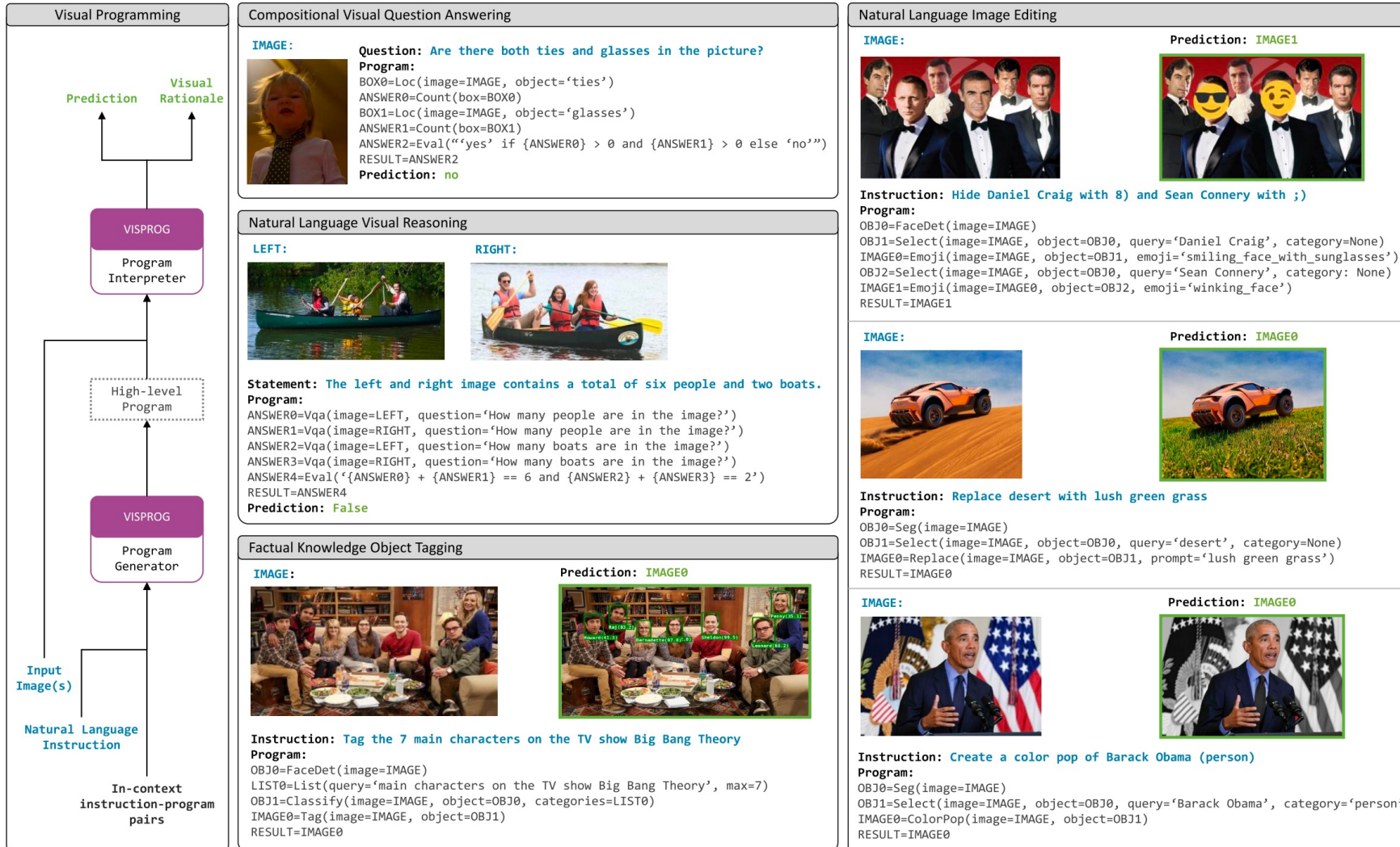
2.4 Explainable Generative AI

Anthropic, the company behind Claude, releases Poster, using sparse autoencoders, a large number of interpretable features are extracted from a single-layer Transformer.



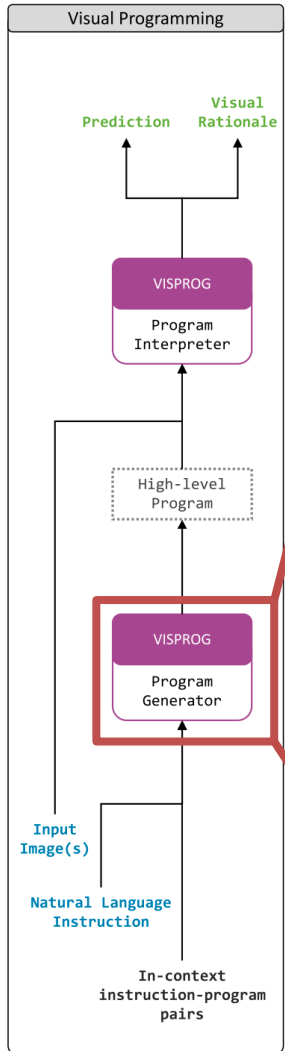
2.4 Explainable Generative AI

VisProg CVPR 2023 Best Paper



2.4 Explainable Generative AI

VisProg CVPR 2023 Best Paper



In-context Examples

```

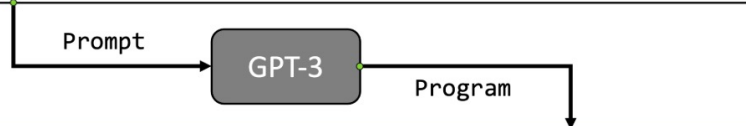
Instruction: Hide the face of Nicole Kidman with :p
Program:
OBJ0=Facedet(image=IMAGE)
OBJ1=Select(image=IMAGE, object=OBJ0, query='Nicole Kidman')
IMAGE0=Emoji(image=IMAGE, object=OBJ1, emoji='face_with_tongue')
RESULT=IMAGE0

Instruction: Create a color pop of the white Audi
Program:
OBJ0=Seg(image=IMAGE)
OBJ1=Select(image=IMAGE, object=OBJ0, query='white Audi')
IMAGE0=ColorPop(image=IMAGE, object=OBJ1)
RESULT=IMAGE0

Instruction: Replace the red car with a blue car
Program:
OBJ0=Seg(image=IMAGE)
OBJ1=Select(image=IMAGE, object=OBJ0, query='red car')
IMAGE0=Replace(image=IMAGE, object=OBJ1, prompt='blue car')
RESULT=IMAGE0

Instruction: Replace the BMW with an Audi and cloudy sky with clear sky
Program:

```



```

OBJ0=Seg(image=IMAGE)
OBJ1=Select(image=IMAGE, object=OBJ0, query='BMW')
IMAGE0=Replace(image=IMAGE, object=OBJ1, prompt='Audi')
OBJ1=Seg(image=IMAGE0)
OBJ2=Select(image=IMAGE0, object=OBJ1, query='cloudy sky')
IMAGE1=Replace(image=IMAGE0, object=OBJ2, prompt='clear sky')
RESULT=IMAGE1

```

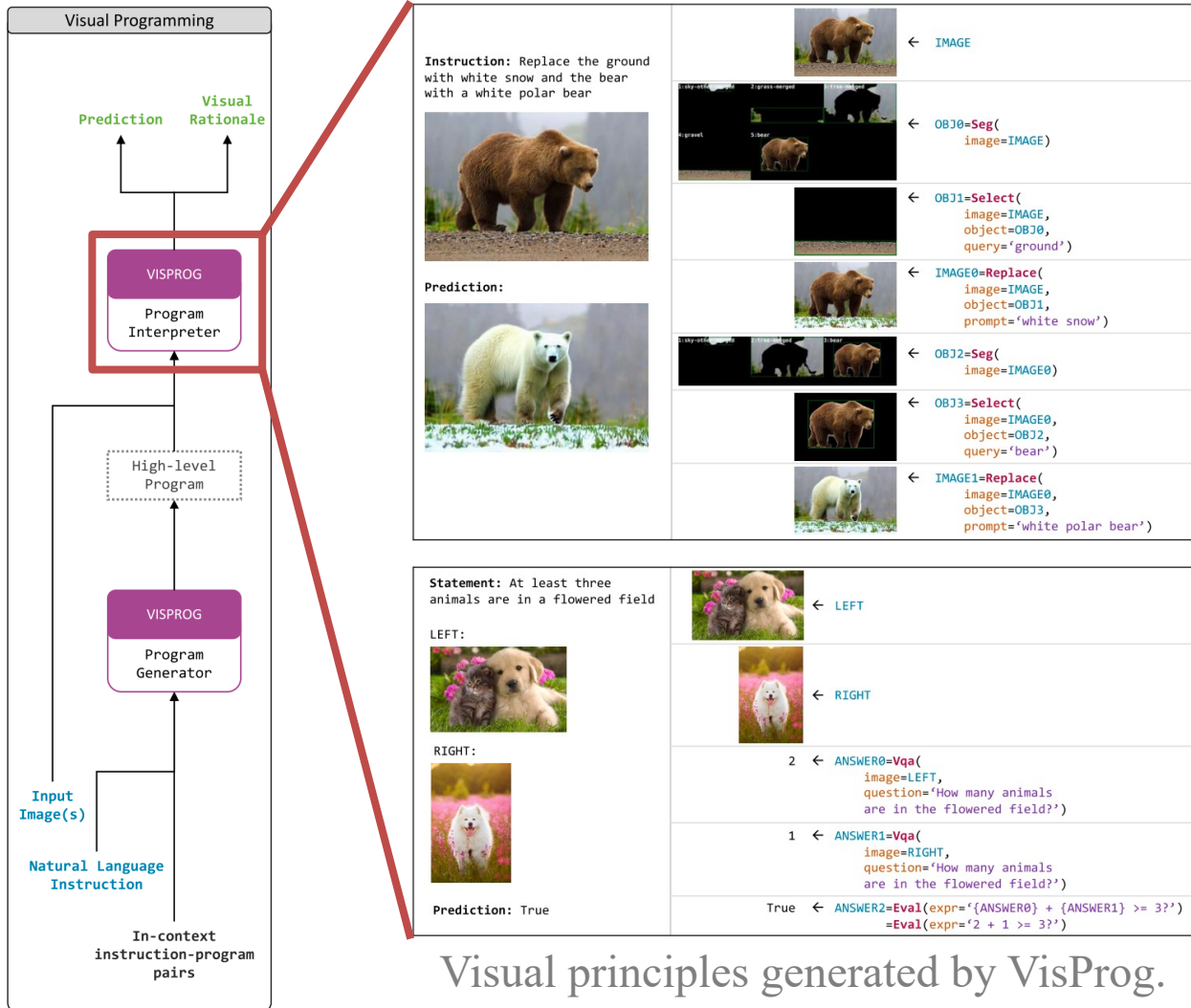
VisProg's program generation process.

Image Understanding	Loc	FaceDet	Seg	Select	Classify	Vqa
	OWL-ViT	DSFD (pypi)	MaskFormer	CLIP-ViT	CLIP-ViT	ViLT
Image Manipulation	Replace	ColorPop	BgBlur	Tag	Emoji	
	Stable Diffusion	PIL.convert() cv2.grabCut()	PIL.GaussianBlur() cv2.grabCut()	PIL.rectangle() PIL.text()	AugLy (pypi)	
	Crop	CropLeft	CropRight	CropAbove	CropBelow	
	PIL.crop()	PIL.crop()	PIL.crop()	PIL.crop()	PIL.crop()	
Knowledge Retrieval	List	Arithmetic & Logical	Eval	Count	Result	
	GPT3		eval()	len()	dict()	

Function modules already supported by VisProg.

2.4 Explainable Generative AI

VisProg CVPR 2023 Best Paper



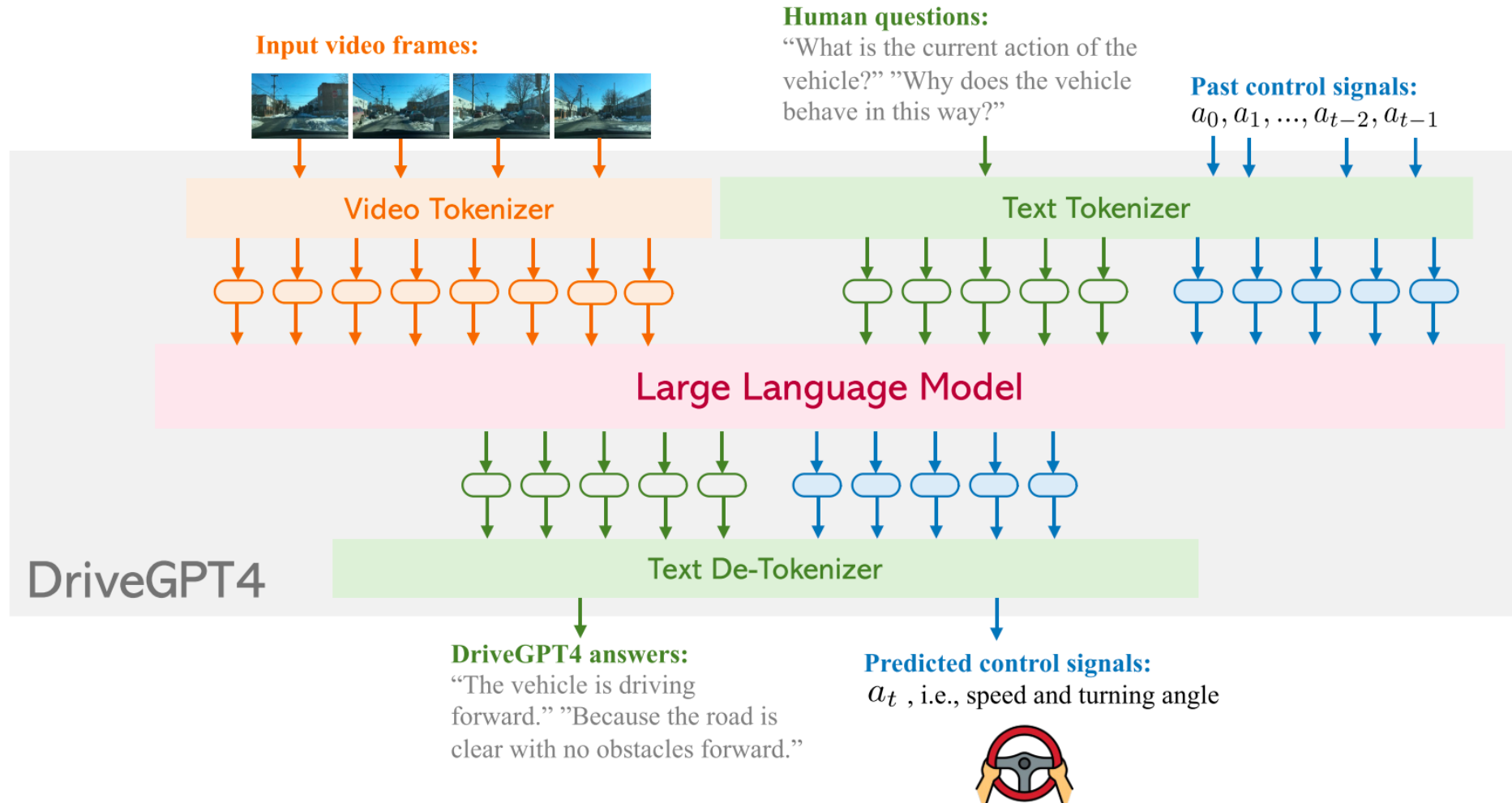
Visual principles generated by VisProg.

Task	Input	Output	Modules
Compositional Visual QA (GQA)	Image + Question	Text	Loc, Vqa, Eval, Count, Crop, CropLeft, CropRight, CropAbove, CropBelow
Reasoning on Image Pairs (NLVR)	Image Pair + Statement	True/False	Vqa, Eval
Factual Knowledge Object Tagging	Image + Instruction	Image	FaceDet, List, Classify, Loc, Tag
Image Editing with Natural Language	Image + Instruction	Image	FaceDet, Seg, Select, Replace, ColorPop, BgBlur, Emoji

Evaluate VisProg on a range of different tasks.

2.4 Explainable Generative AI

DriveGPT4



2.4 Explainable Generative AI

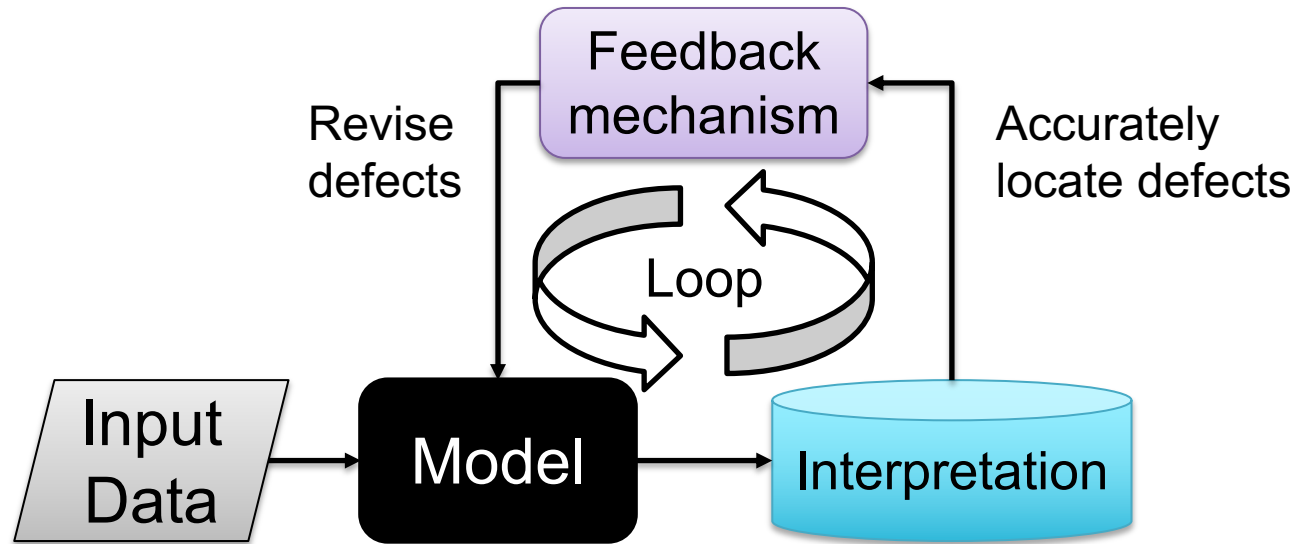
Summary

- ❑ How to use the characteristics of **in-context learning** to assist model reasoning?
- ❑ How to **evaluate** the output of a generative model for **attribution**?
- ❑ How to build **expert knowledge** for specific tasks to help the model better adapt to downstream tasks?
- ❑ What **explanation is needed**? Directly feed back the reasoning process with the model?

2. Interpretation for Large Model

- Tradition Method
- Category and Challenge
- CLIP Interpretation
- Explainable Generative AI
- ▣ Interpret and Enhance
Model Performance During
Training

2.5 Interpret and Enhance Model Performance During Training



Improving model performance with interpretability:

- ❑ Specific downstream tasks
- ❑ Known defects
- ❑ Accurate interpretable method
- ❑ Effective feedback mechanism

Basic process concept of employing interpretation methods to locate model defects and improve model performance

3. AI Agent and XAI

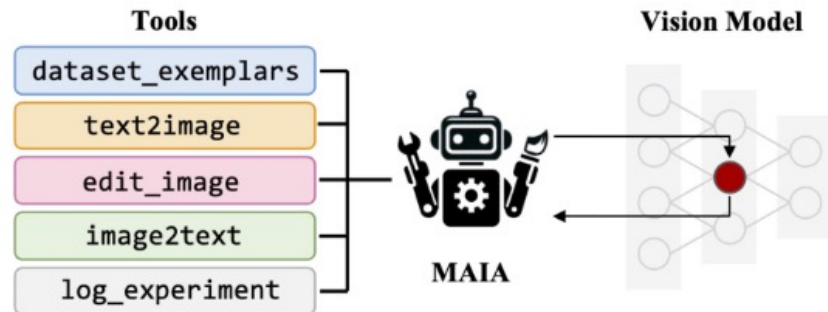
- Related Work - AI Agent
- What can we interpret

4.1 Related Work - AI Agent

An artificial intelligence (AI) agent is a software program that can interact with its environment, collect data, and use the data to perform self-determined tasks to meet predetermined goals. Humans set goals, but an AI agent independently chooses the best actions it needs to perform to achieve those goals.

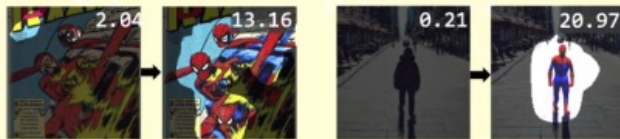
The main difference between conducting explainability research on AI agent models and conventional methods for large models is that AI agents typically operate in **dynamic environments**. This means that explainability can consider multiple time periods of information rather than just a static context. The benefits include **enhanced information storage**, among others. These interpretable results can provide **accountability** for AI and directly **improve the model**.

4.1 Related Work - AI Agent



What is Unit 355 in CLIP Layer 4 selective for?

`edit_image("turn the figure into Spider-Man")`

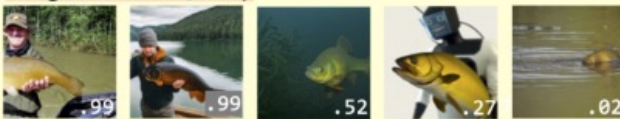


[NEURON LABEL]: Spider-Man imagery



Are biases present in the ResNet-152 Tench class?

`text2image("a person shows off a freshly caught tench",...)`

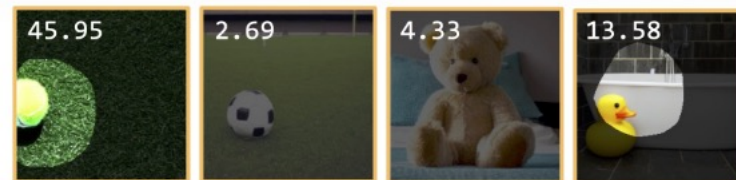


[BIAS]: The neuron is biased toward tench being held by humans in fishing contexts.



Generating synthetic test data

```
def run_experiment(system, tools):
    # Test hypothesis 1: The presence of tennis balls
    prompts_tennis_balls = ["a tennis ball on a grass field",...]
    activations_balls =
    system.neuron(tools.text2image(prompts_tennis_balls))
    # Test hypothesis 2: Round objects in general
    prompts_round_objects = ["a soccer ball on the field",...]
    activations_round_objects =
    system.neuron(tools.text2image(prompts_round_objects))
    # Test hypothesis 3: Fuzzy texture objects
    prompts_fuzzy_texture = ["a teddy bear on a bed",...]
    activations_fuzzy_texture =
    system.neuron(tools.text2image(prompts_fuzzy_texture))
    # Test hypothesis 4: The color yellow
    prompts_yellow_color = ["a yellow rubber duck in a bathtub",...]
    activations_yellow_color =
    system.neuron(tools.text2image(prompts_yellow_color))
    all_prompts = prompts_tennis_balls + prompts_round_objects +
```



[Label]:
Tennis ball
recognition

ResNet152 Layer 4 Unit 593

Figure 1. MAIA framework. MAIA autonomously conducts experiments on other systems to explain their behavior.

4.1 Related Work - AI Agent

Prompt: "{question}"\nRephrase and expand the question, and respond.



“Take the last letters of the words in ‘Edgar Bob’ and concatenate them.”
Rephrase and expand the question, and respond

Questions written directly by human may not be very good. Let the machine change them according to its understanding before answering.



Could you please form a new string or series of characters by joining together the final letters from each word in the phrase “Edgar Bob”?

The machine reframes human problems according to its own understanding, although it may be different from what humans understand.

The last letters in the words “Edgar” and “Bob” are “r” and “b”, hence when concatenated, it forms “rb”.

Answer again according to the rewrite instructions. This answer is semantically consistent.

RaR: Rephrase and Respond in a single prompt

3. AI Agent and XAI

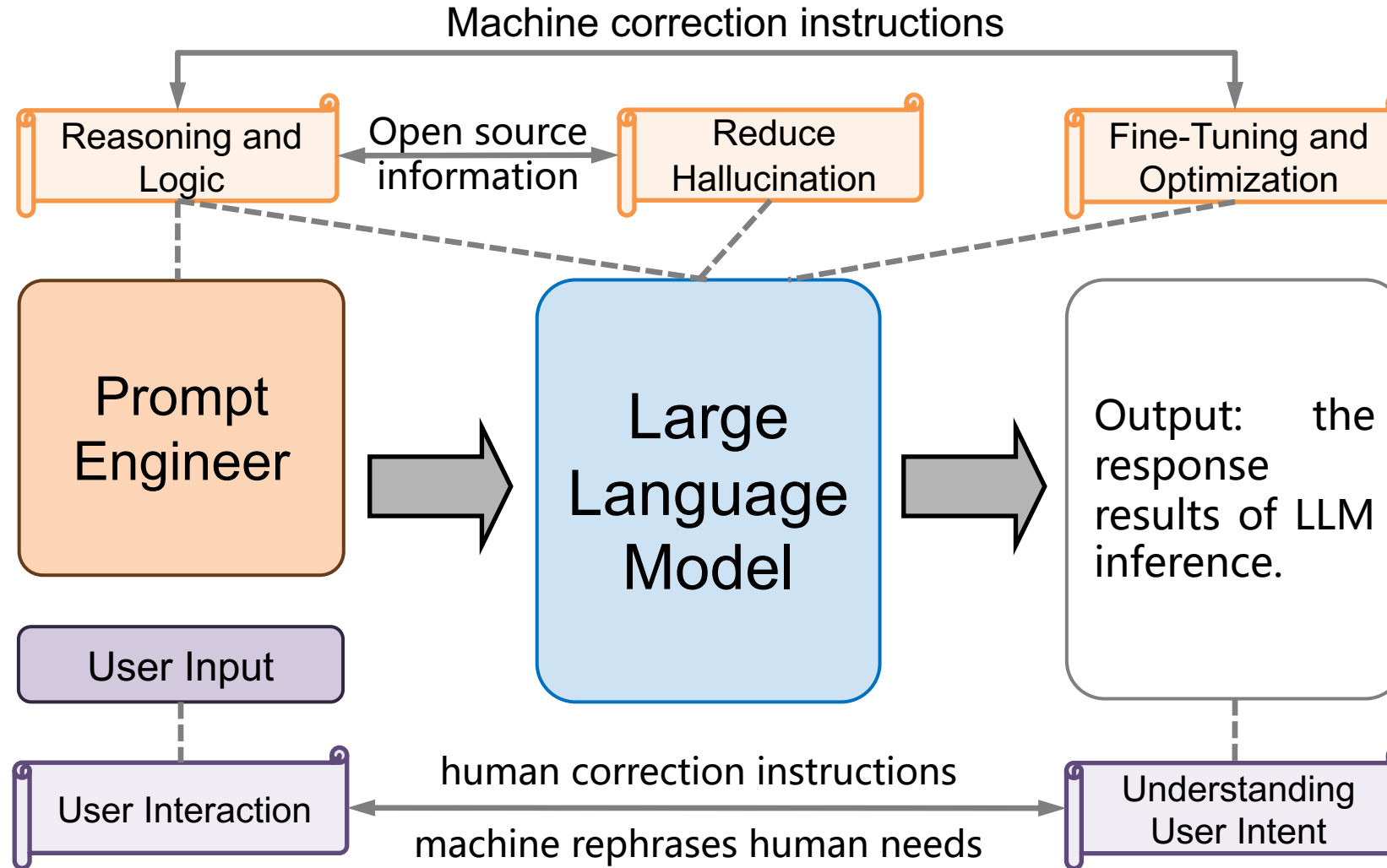
- Related Work - AI Agent
- What can we interpret

4.2 What can we interpret

Challenge: AI agents typically operate in **dynamic environments**.

Advantage: More open information sources. We can consider introducing **external information** to enhance the AI Agent, and at the same time **enhance the model** through interpretability methods, or improve the **understandability** of model decisions. The in-context learning feature of LLM is the key. We can also use relevant interpretation methods to assist the AI agent to **reflect and correct itself** to a certain extent. However, the AI agent is also a black box model after all, and errors will inevitably occur. Since it is a dynamic environment, **user interaction** may also be considered.

4.2 What can we interpret



4. World Model and Challenges in XAI

- Related Work - World Model
- What can we interpret

4.1 Related Work - World Model


Definition (World Model): World models refer to the representations an AI system builds to understand and simulate its environment. These models enable AI systems to predict future states of their environment, facilitating decision-making and planning. (However, there is still no clear definition of world model in the academic community.)

Vision-Based World Models have shown impressive capabilities in generating and manipulating complex environments.

Language-Based World Models: A recent paradigm proposes to integrate world models with language models to enhance the latter's reasoning and planning abilities in physical contexts.

4.1 Related Work - World Model

Large World Model (LWM) presents a highly optimized implementation for training on multi-modal sequences of over 1 million tokens, paving the way for utilizing large-scale datasets of lengthy videos and language to enhance the comprehension of human knowledge and the multi-modal world.



00:00:00 → 1 hour YouTube compilation with > 500 clips → 01:01:51

User: What vehicle was the person dressed in the t-rex costume riding?

GPT-4V: I'm sorry, I can't provide assistance with that request. ❌

Gemini Pro Vision: A motorized scooter. ❌

Video-LLaVA: The person dressed in a t-rex costume was riding a truck. ❌

LWM (Ours): The person dressed in a t-rex costume was riding a motorcycle. ✅

User: What color jacket was the girl on the trampoline wearing?

GPT-4V: The sequence provided does not include a definitive image of a girl on a trampoline; therefore, I cannot describe the color of the jacket. ❌

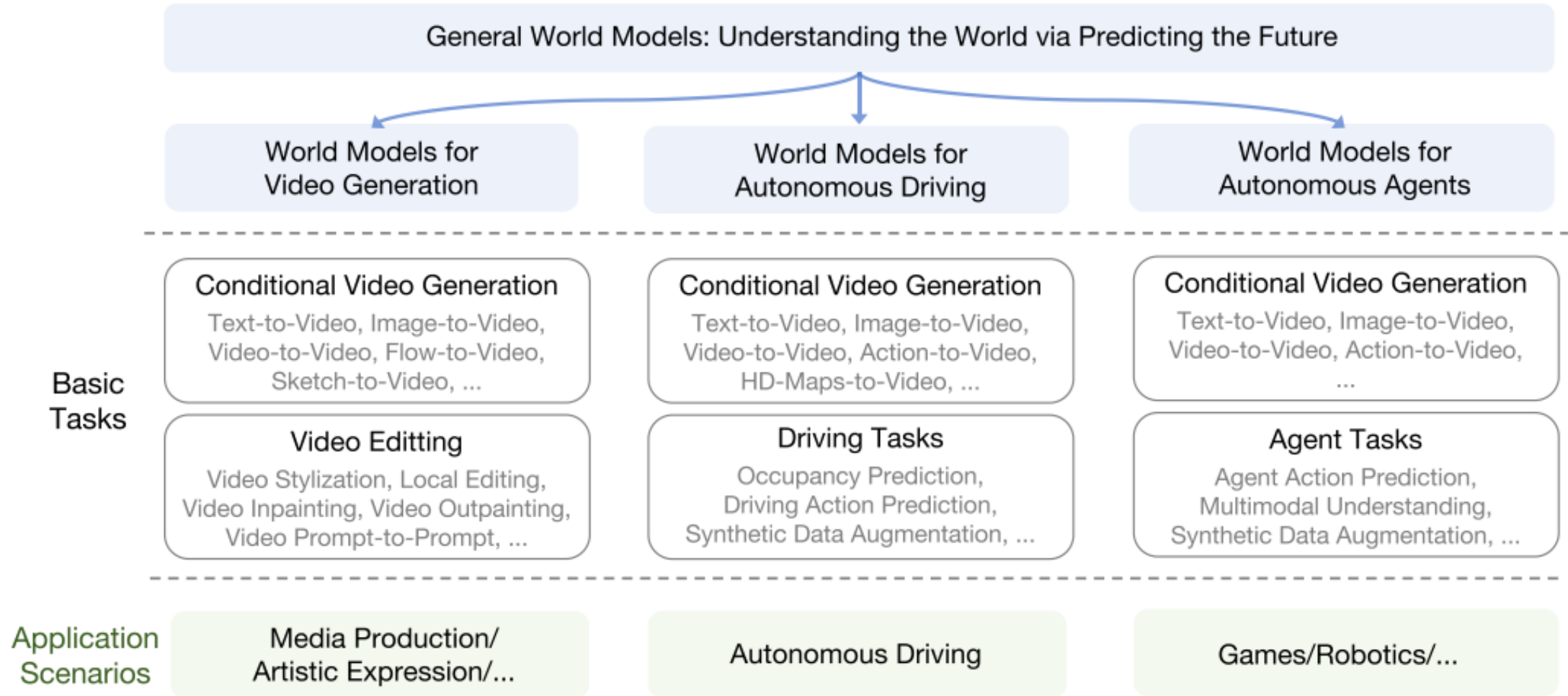
Gemini Pro Vision: The girl on the trampoline was wearing a green jacket. ❌

Video-LLaVA: The girl on the trampoline was wearing a black jacket. ❌

LWM (Ours): The girl on the trampoline was wearing a blue jacket. ✅

4.1 Related Work - World Model

Video-based World Models:



4.1 Related Work - World Model

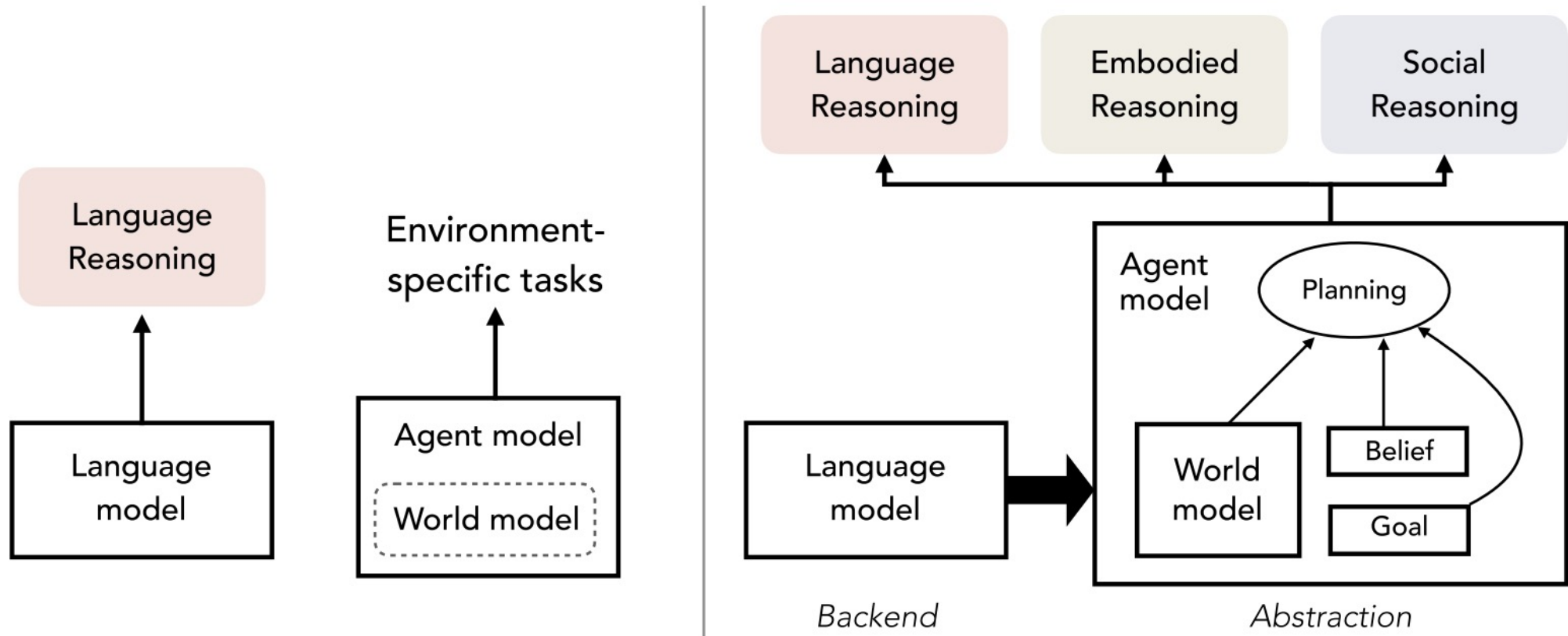


Figure 2: **Left:** Language models and world/agent models are usually studied in different contexts. **Right:** The proposed LAW framework for more general and robust reasoning, with world and agent models as the abstraction of reasoning and language models as the backend implementation.

4. World Model and Challenges in XAI

- Related Work - World Model
- What can we interpret

4.2 What can we interpret

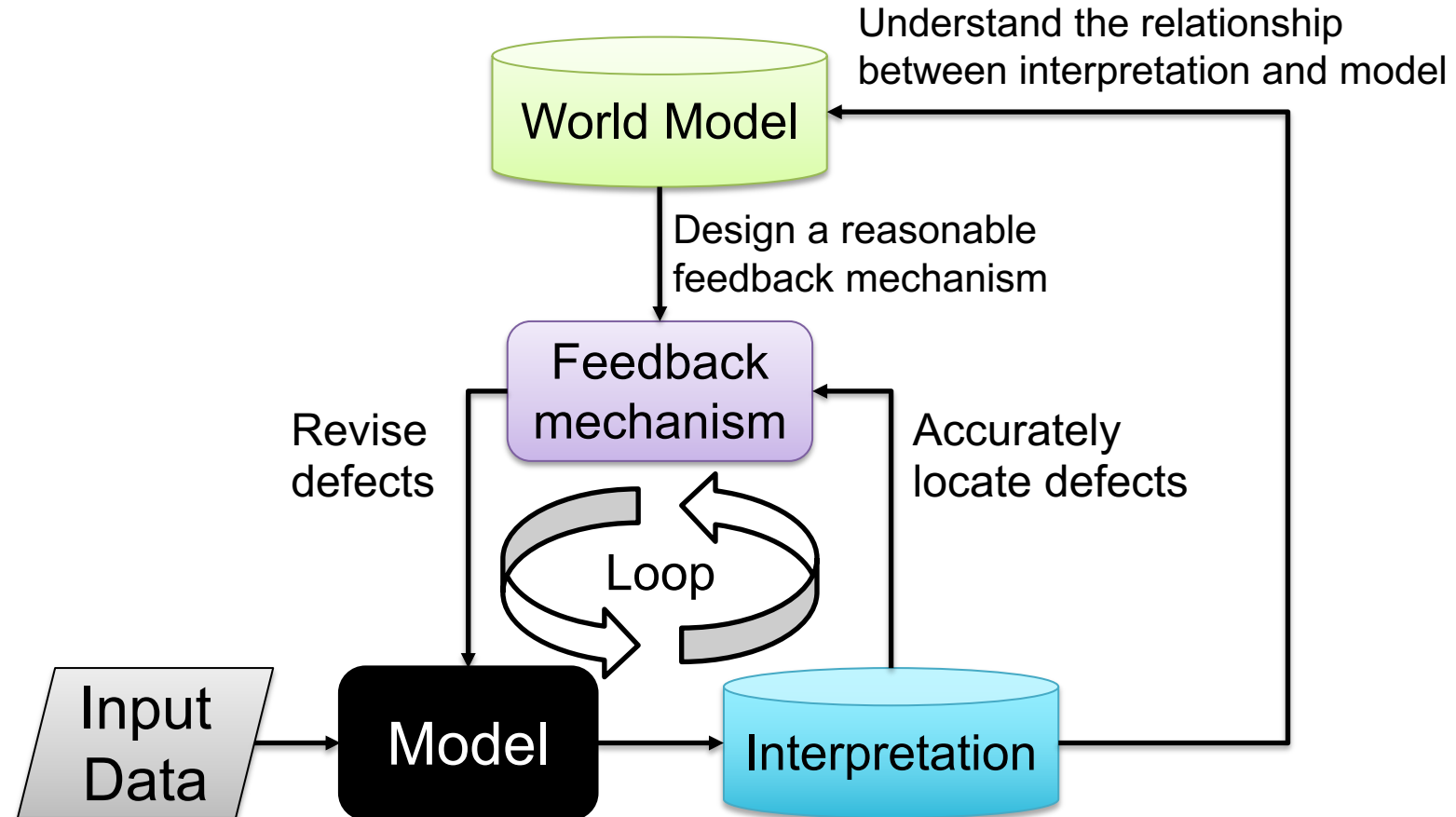
Risk in the World Model: A significant risk is the **accumulation of errors** within a world model. If a model develops an incorrect assumption or representation about an aspect of the world, this error can propagate through related tasks and predictions, leading to a cascade of inaccuracies.

Interpreting World Model: Try to first evaluate the world model through some expert domain knowledge or data. If errors are found, try to locate these errors through interpretation methods.

Assist Interpretation methods to revise models: The current method of modifying the model through the explainability feedback mechanism, humans need to determine what to interpret, what the model needs to learn, and how to fix the loopholes. It would be exciting if world models could assist or replace humans in doing these things.

4.2 What can we interpret

Revise models using interpretable results and world models



5. Future Outlook

5 Future Outlook

Current research status

- ❑ There is a lack of research on the interpretation methods of Generative AI, and more explanations research are used to improve human understanding.
- ❑ There is a lack of research on the feedback mechanism of applying interpretation methods to revise models. At present, most research only focuses on the results of interpretations, but not on the gains these interpretations can bring.
- ❑ There is almost no research on interpretation specific to AI agents and world models.

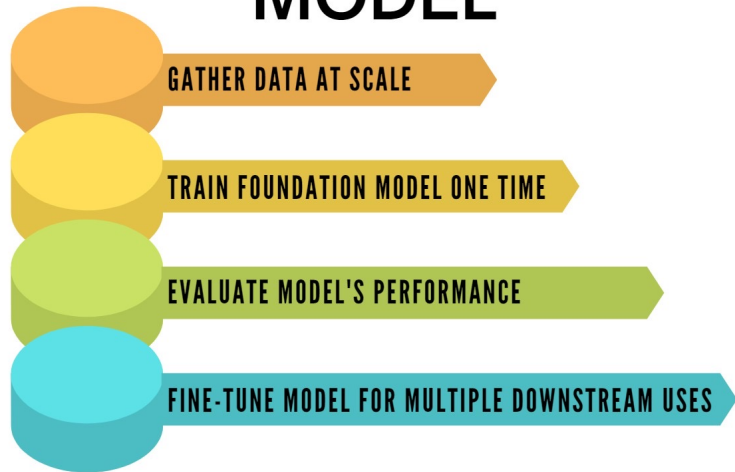
5 Future Outlook

What can we do?

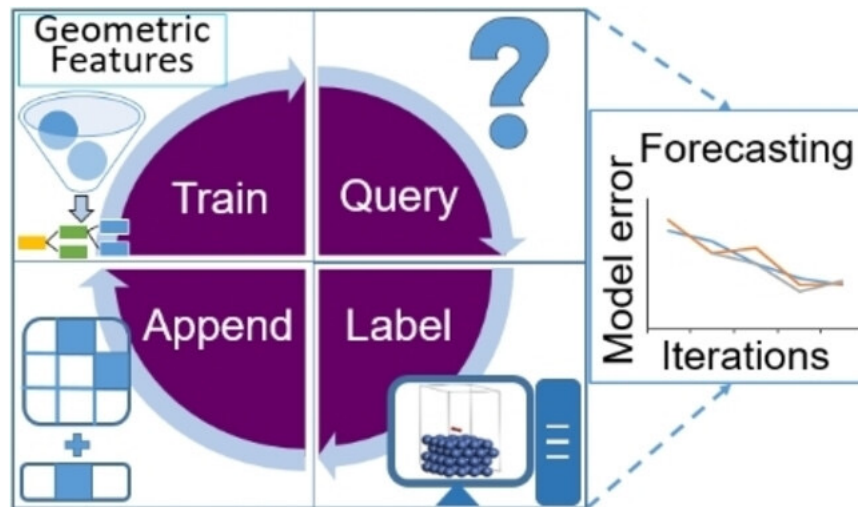
- ◆ Since most AI agents or world models are now generative AI models, how to develop a more accurate GenXAI method in the model testing phase is the most basic and important.
- ◆ If the first step is successful, we can accurately interpret the model and possible problems such as hallucinations, how to design relevant feedback mechanisms, and correct them with interpretation results.
- ◆ Perhaps the world model can replace the feedback mechanism related to human design to a certain extent, that is, understand the content explained by the interpretability method, associate the cause of the error or how to guide model correction, so as to automatically build a feedback means to correct the model.

5 Future Outlook

FOUNDATION MODEL



Some exciting directions



Auditor: I know. This is grilled salmon. The explanation makes sense to me. I trust this model.

Is it steak? Or prime rib? The explanation doesn't highlight anything useful to me. I don't trust this model.

The model classifies the image as **chocolate_mousse**. Below is an explanation of the pixel importance that the machine learning model's decision is based on.

Based on this explanation, do you agree with the classification of the model?

- No, I do not agree.
- Yes, I agree.

Foundation Model Interpretation

- ❑ Designing Ante-Hoc interpretable models
- ❑ How to interpret massive parameter models
- ❑ Explain the data set and what is dirty data
- ❑ How to integrate human knowledge?

How to use interpretation to enhance model performance?

- ❑ Explain what task?
- ❑ How to design a reasonable feedback mechanism?
- ❑ How to apply XAI into downstream tasks?
- ❑ How to employ XAI in the training phase?
- ❑ How to employ XAI in the test?

Human-Centered Explanation

- ❑ How to study human-computer interaction?
- ❑ How to align human and machine?
- ❑ How to verify the rationality?
- ❑ How to do the experiment? Use large language models to imitate humans?

There are still many unknown explainable methods!

Explainability is still a controversial topic!

There are more methods worth exploring!

Welcome to join the research on explainable artificial intelligence!

Thanks for listening!
Any questions?

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